

# Exploring the New High- $z$ Frontier with JWST

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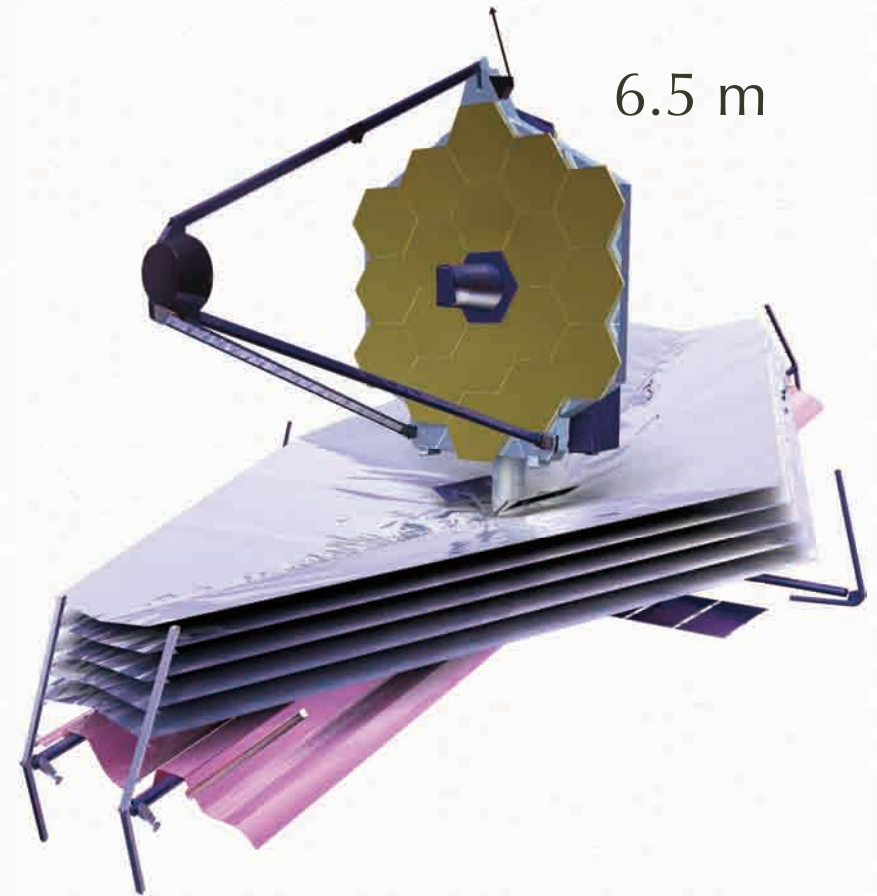
Haojing Yan

University of Missouri-Columbia

Purple Mount Observatory

12/25/2023

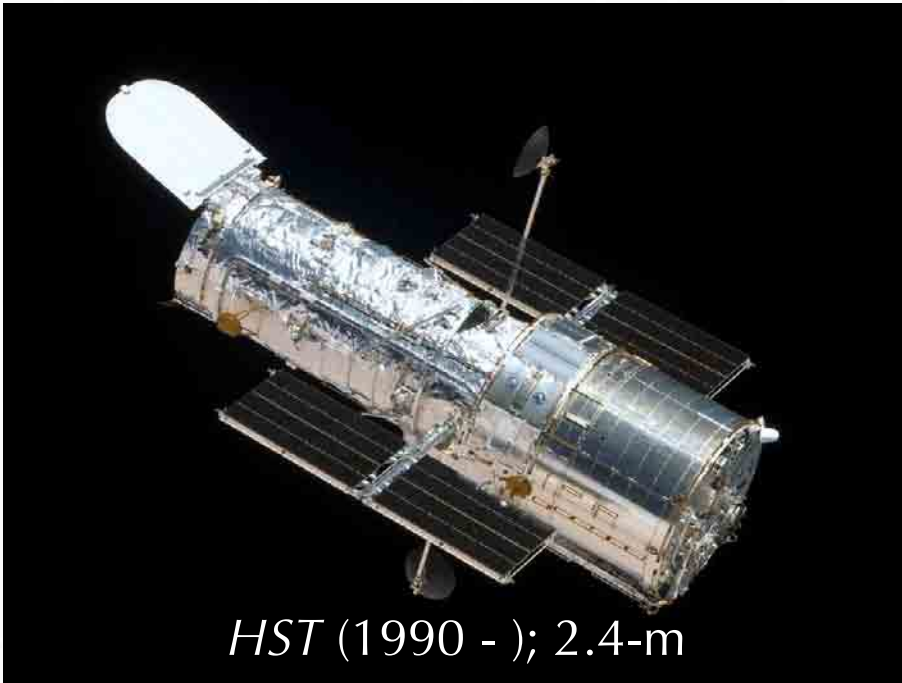




- ❖ JWST was launched on December 25, 2021 in Kourou, French Guiana, by an Ariane 5 launch vehicle (of European Space Agency); arrived at S-E L2 on January 24, 2022 — lifetime 5-year minimum, 20-year expected
- ❖ **NASA**: \$8.8B + \$861M for 5-year; **ESA**: €700M; **CSA**: CA\$200M => \$10B+ !

## **JWST: more the successor to *Spitzer* than to *HST***

- ❖ JWST is often called as the successor to the *Hubble* Space Telescope (*HST*; 2.4-m)
- ❖ In terms of its discovery window, it is more the successor to the *Spitzer* Space Telescope (2003-2020; 0.85-m)
- ❖ *HST* works at 0.115-1.7  $\mu\text{m}$  (UV to near-IR), while *Spitzer* worked at 3-160  $\mu\text{m}$  (near-to-far-IR)
- ❖ JWST works at 0.6-28  $\mu\text{m}$  (optical to mid-IR); the most powerful range is  $\sim$ 2-8  $\mu\text{m}$



After the 5th service mission -

**ACS:** 0.22-0.95  $\mu\text{m}$

**COS:** 0.115-0.320  $\mu\text{m}$

**STIS:** 0.115-1.0  $\mu\text{m}$

**WFC3:** 0.2-1.7  $\mu\text{m}$

Cannot go beyond 2  $\mu\text{m}$  because it is a warm telescope



**IRAC:** 3-8.5  $\mu\text{m}$

**IRS:** 5.2-38  $\mu\text{m}$

**MIPS:** 22-170  $\mu\text{m}$

Only IRAC 3-5  $\mu\text{m}$  kept working till Jan 30, 2020; everything else ceased operation in May 2009 after liquid helium exhausted

# JWST Instruments

- ❖ Near-Infrared Camera (**NIRCam**) : imager working at 0.6-5  $\mu\text{m}$ ; mercury-cadmium-telluride (HgCdTe) detectors
- ❖ Near-Infrared Spectrograph (**NIRSpec**) : spectrograph working at 0.6-5  $\mu\text{m}$ ; HgCdTe detectors
- ❖ Mid-Infrared Instrument (**MIRI**) : imager (5.6-25.5  $\mu\text{m}$ ) + spectrograph (5-12  $\mu\text{m}$  or 4.9-28.8  $\mu\text{m}$ ); arsenic-doped silicon (Si:As) detectors
- ❖ Fine Guidance Sensor/Near InfraRed Imager and Slitless Spectrograph (FGS/**NIRISS**) : guiding camera + imager/spectrograph (0.7-5.0  $\mu\text{m}$ ); HgCdTe detectors



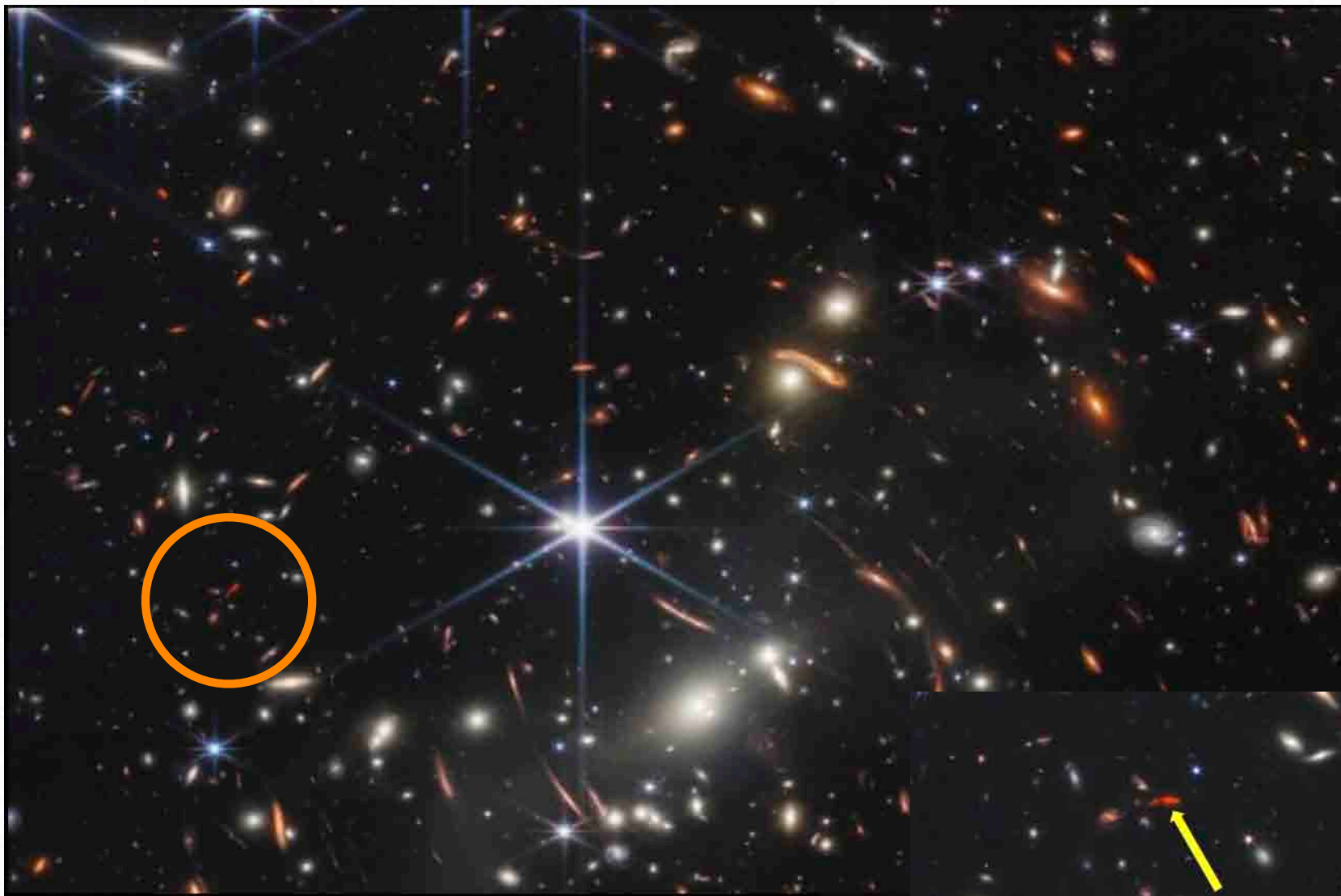
## It began in July 2023

- ❖ After ~6 months of commissioning, JWST began its official science operation in the 2nd week of July - but no data were released to any programs until after July 12
- ❖ NASA planned to release its “Early Release Observations” (ERO; done in June) on July 12 for PR
- ❖ The ERO targets were kept as a secret until July 8; among them there was a lensing cluster field SMACS 0723-73 (surprise!!!)

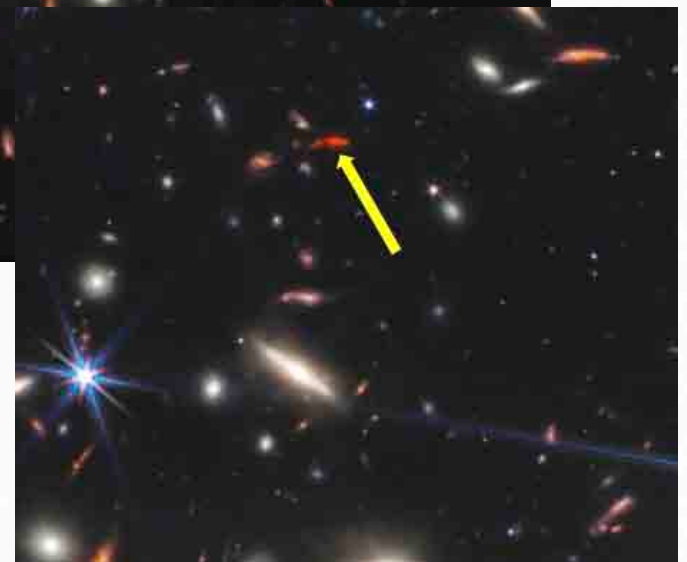
# White House stole the thunder ...



- ❖ President Biden & Vice President Harris received a briefing on July 11 (in the evening) on the first JWST image - it was SMACS 0723-73
- ❖ Within the hour, the full-resolution color image was distributed through internet



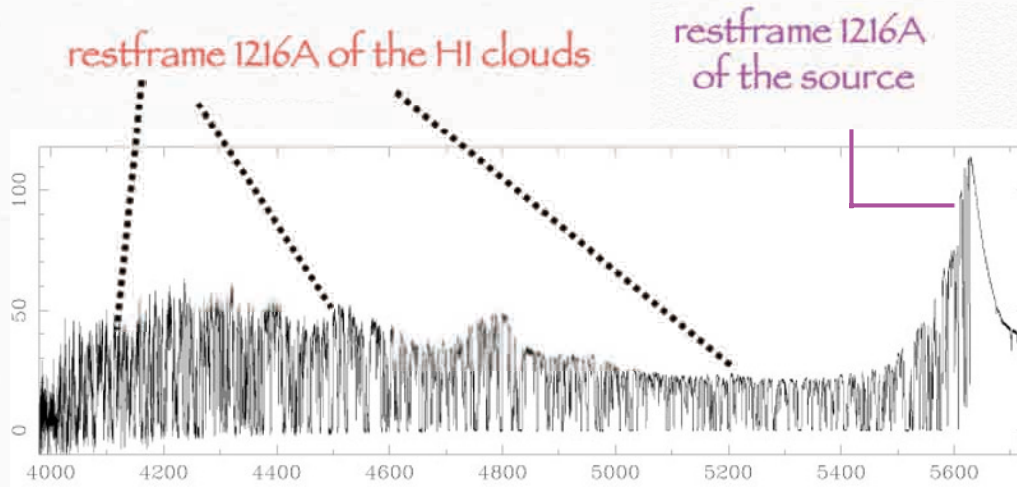
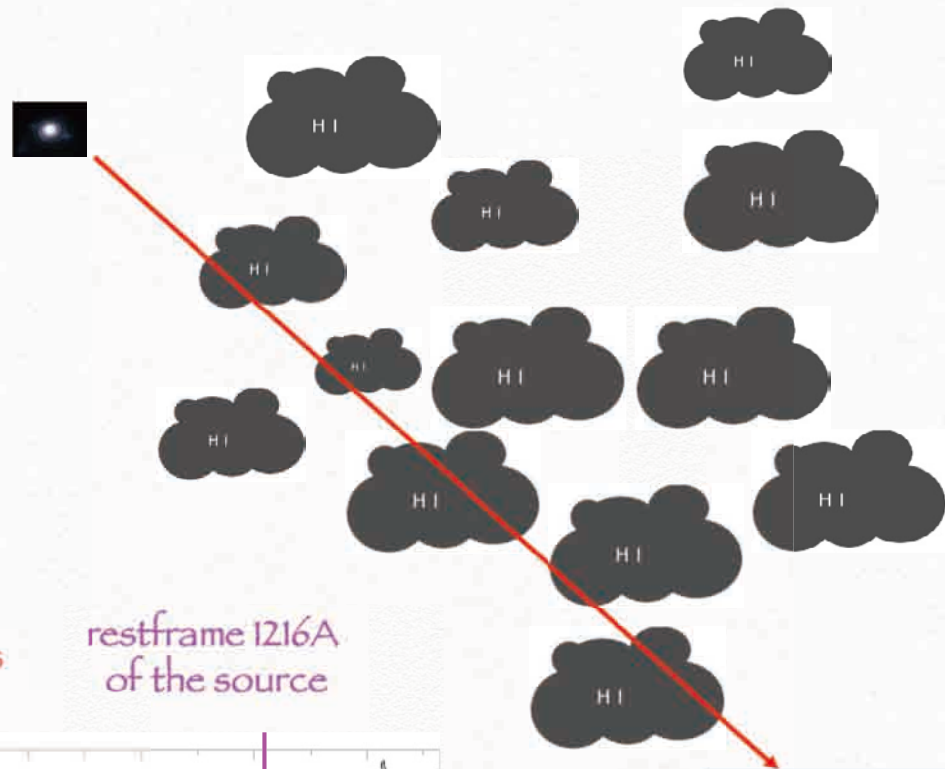
It's not visible in the HST  
WFC3 F160W (1.6  $\mu\text{m}$ ) image!





# High-z signature: Lyman break

- ❖ Line-of-sight H I absorption (Lyman limit 912Å + Ly $\alpha$ -forest 1216Å) creates strong “Lyman-break” signature in spectra of objects at  $z > 3$  (Steidel & Hamilton 1992)



Spectrum of a  $z=3.6$  quasar revealing the line-of-sight Ly $\alpha$  absorption



# Searching for origins

- ❖ An ultimate goal of studying galaxy formation and evolution is to find and understand first luminous objects in the universe

“First stars”

“First galaxies”

“First accreting black holes”

- ❖ With James Webb Space Telescope (JWST), we are getting closer than ever to this goal



# HST ACS+NICMOS pushed to $z \sim 6-7$

- ❖ Using NICMOS, we pushed to  $z \sim 7$  in the Hubble Ultra-deep Field (HUDF)

## CANDIDATES OF $z \approx 5.5-7$ GALAXIES IN *HST* UDF

TABLE 2  
PHOTOMETRIC PROPERTIES OF THE THREE  $z_{850}$  DROPOUTS FOUND  
IN THE UDF NICMOS FIELD<sup>a</sup>

| ID      | R.A., Decl. (J2000) <sup>b</sup> | $z_{850}$ (limit) <sup>c</sup> | $J_{110}$        | $H_{160}$        |
|---------|----------------------------------|--------------------------------|------------------|------------------|
| 1 ..... | 3 32 38.74, -27 48 39.97         | 28.97                          | $26.58 \pm 0.05$ | $24.68 \pm 0.01$ |
| 2 ..... | 3 32 42.88, -27 48 09.52         | 28.52                          | $27.01 \pm 0.10$ | $24.63 \pm 0.02$ |
| 3 ..... | 3 32 42.56, -27 46 56.69         | 28.45                          | $27.30 \pm 0.06$ | $26.11 \pm 0.02$ |

<sup>a</sup> The very red IR colors of these three  $z_{850}$  dropouts suggest that they are more likely lower redshift early-type galaxies. The only probable  $z \approx 7$  candidate left in our sample (not shown here) is the object pair 7a/7b in Table 1, which could be at the border of the  $z \approx 6$  bin and the  $z \approx 7$  bin.

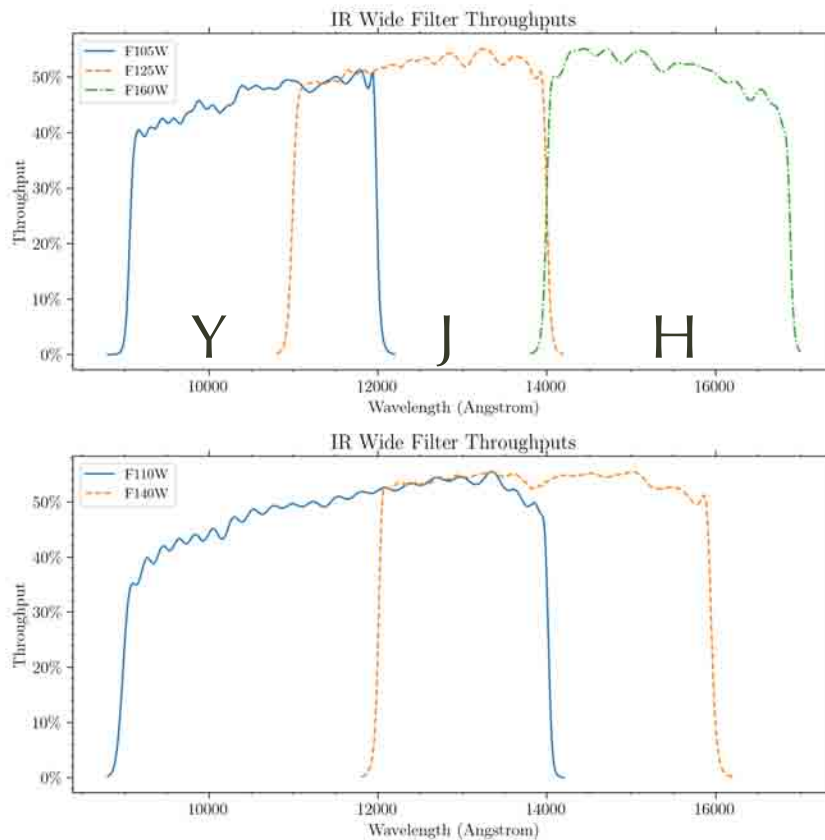
<sup>b</sup> Units of right ascension are hours, minutes, and seconds, and units of declination are degrees, arcminutes, and arcseconds.

<sup>c</sup> These magnitude limits are obtained by adding the flux within an aperture of  $0''.54$  radius, which is not necessarily the size of the apertures that are used for  $J_{110}$  and  $H_{160}$  photometry.

Yan & Windhorst (2004; ApJ, 612, L93)

# HST WFC3 pushed to $z \sim 10$

- ❖ Using WFC3, the frontier was gradually pushed to  $z \sim 8 - 10$
- ❖ The most important observations were done in the HUDF (Sept. 2009) and the CANDELS (2010-2013) fields



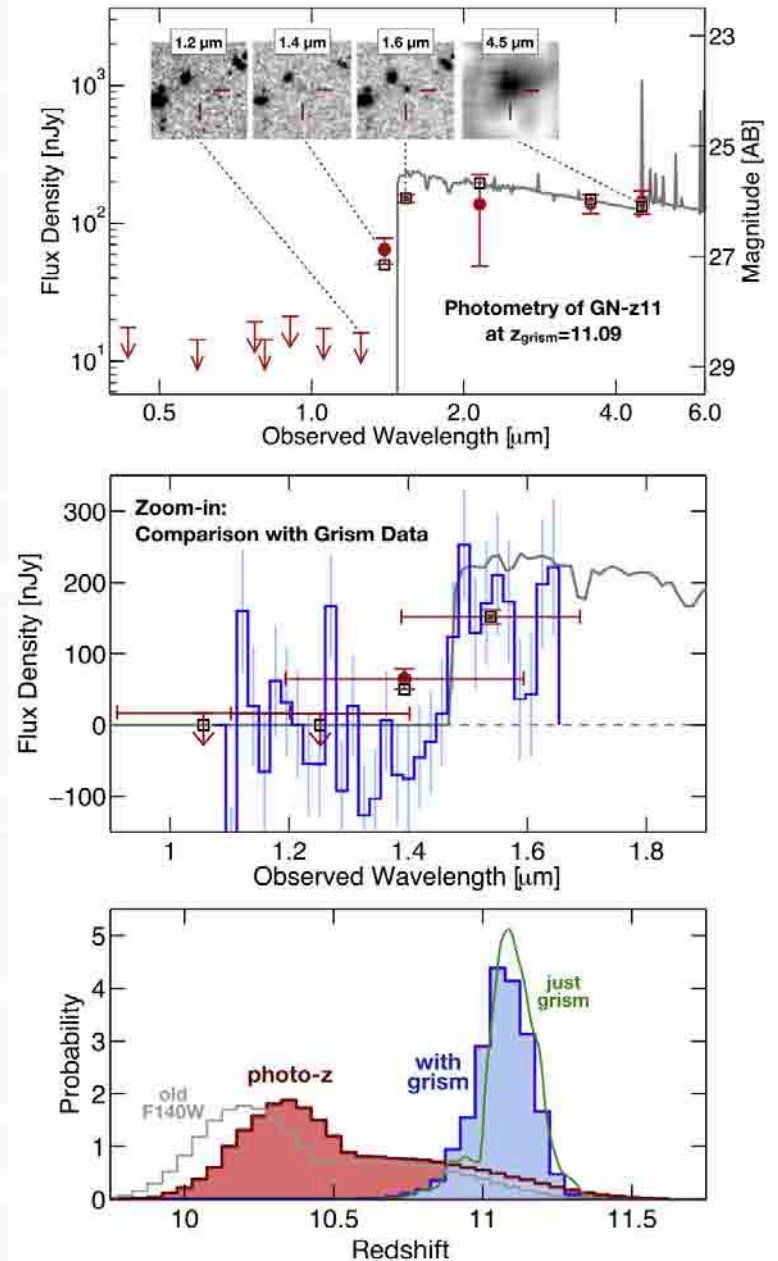
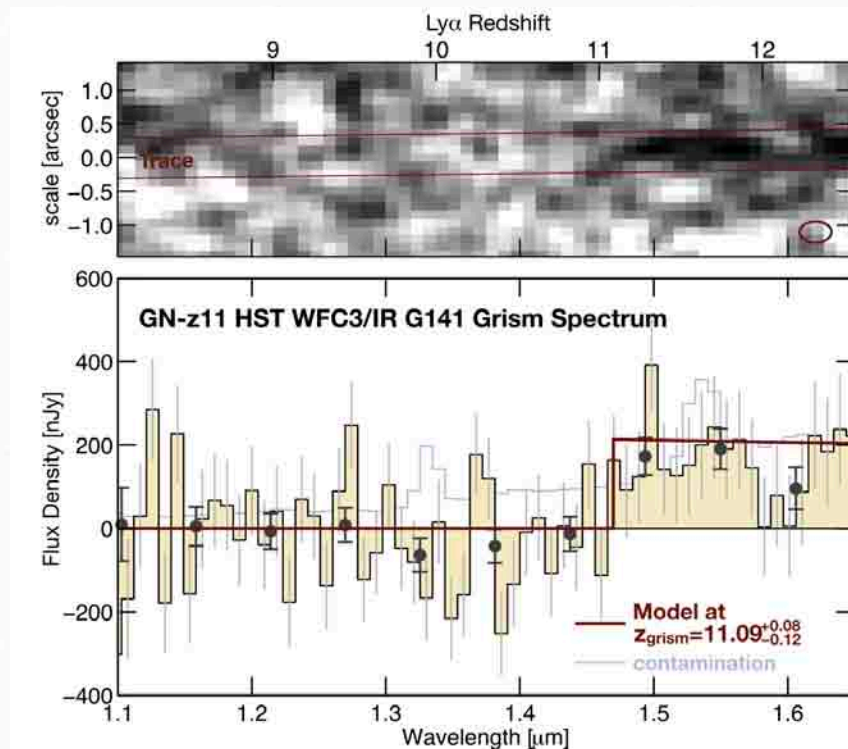
$$z = 14000 / 1216 - 1 \sim 10.5$$

- ❖ This is well into the epoch of cosmic hydrogen reionization (ended at  $z \sim 6.2$ )
- ❖ Controversies and problems began ...



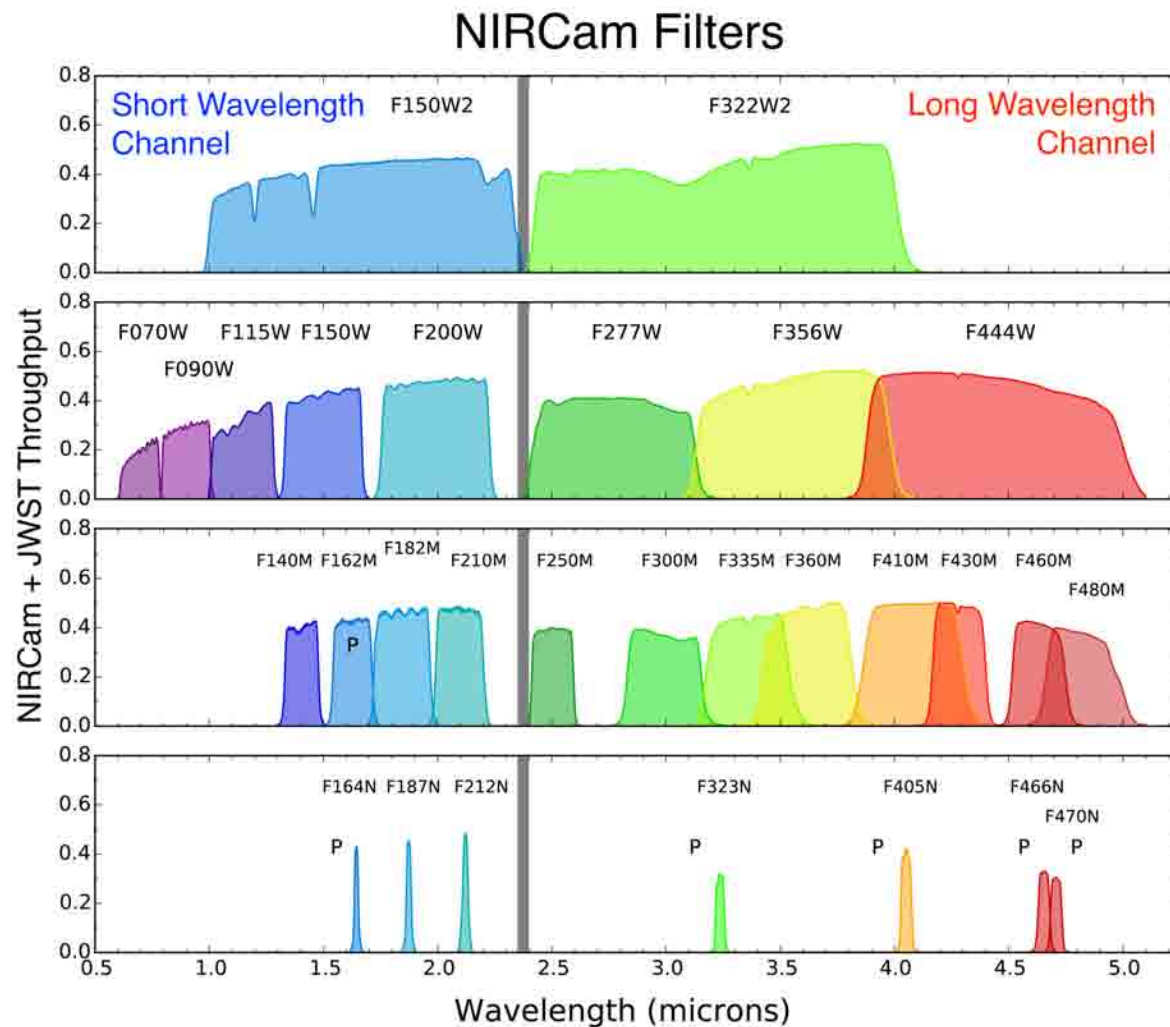
# HST stopped at $z \sim 11$

- ❖ Galaxy of the highest redshift detected by HST - Oesch et al. (2016; ApJ, 819, 129); candidate selected in CANDELS GOODS-North field
- ❖ “Confirmation” using HST WFC3 grism spectroscopy:  $z=11.09$  (but see Jiang et al. 2021;  $z=10.957$ )



# JWST pushing to $z > 11$

- ❖ So it's all natural then to see JWST pushing beyond  $z \sim 11$  ...





# Pre-JWST picture of early galaxy formation






THE ASTROPHYSICAL JOURNAL, 855:105 (12pp), 2018 March 10

<https://doi.org/10.3847/1538-4357/aab03f>

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## The Dearth of $z \sim 10$ Galaxies in All *HST* Legacy Fields—The Rapid Evolution of the Galaxy Population in the First 500 Myr\*

P. A. Oesch<sup>1</sup> , R. J. Bouwens<sup>2</sup> , G. D. Illingworth<sup>3</sup> , I. Labbé<sup>2</sup> , and M. Stefanon<sup>2</sup>   
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- ❖ Prevailing idea of the community: very few galaxies at  $z > 11$
- ❖ (The epoch of first galaxies should be at around  $z \sim 11$ )
- ❖ (In its first year, JWST probably wouldn't be able to find any galaxies beyond the HST record)

# JWST changed the landscape

- ❖ JWST began its official science operation in the 2nd week of July, 2022 - but no data would be released to any programs until after July 12
- ❖ The floodgate was opened after the NASA news briefing to the White House on July 11
- ❖ The first set of JWST data immediately gave the community huge surprises
- ❖ Within two weeks, seven papers on searching for  $z > 11$  galaxies appeared

- ❖ July 19: Castellano et al., two very bright candidates at  $z \sim 10.6$  and 12.2
- ❖ July 19: Naidu et al., two very bright candidates at  $z \sim 10.4$  and 12.4
- ❖ July 22: Adams et al., including one candidate at  $z \sim 11.5$
- ❖ July 23: Yan et al., 87 candidates at  $z > 11$ , up to  $z \sim 28.3$
- ❖ July 25: Atek et al., two candidates at  $z \sim 12$
- ❖ July 25: Donna et al., six candidates at  $z > 12$
- ❖ July 25: Finkelstein et al., one candidate at  $z \sim 11.8$
- ❖ ... more followed





## First Batch of $z \approx 11$ –20 Candidate Objects Revealed by the James Webb Space Telescope Early Release Observations on SMACS 0723-73

Haojing Yan<sup>1</sup> , Zhiyuan Ma<sup>2</sup> , Chenxiaoji Ling<sup>1</sup> , Cheng Cheng<sup>3</sup> , and Jia-Sheng Huang<sup>3</sup> 

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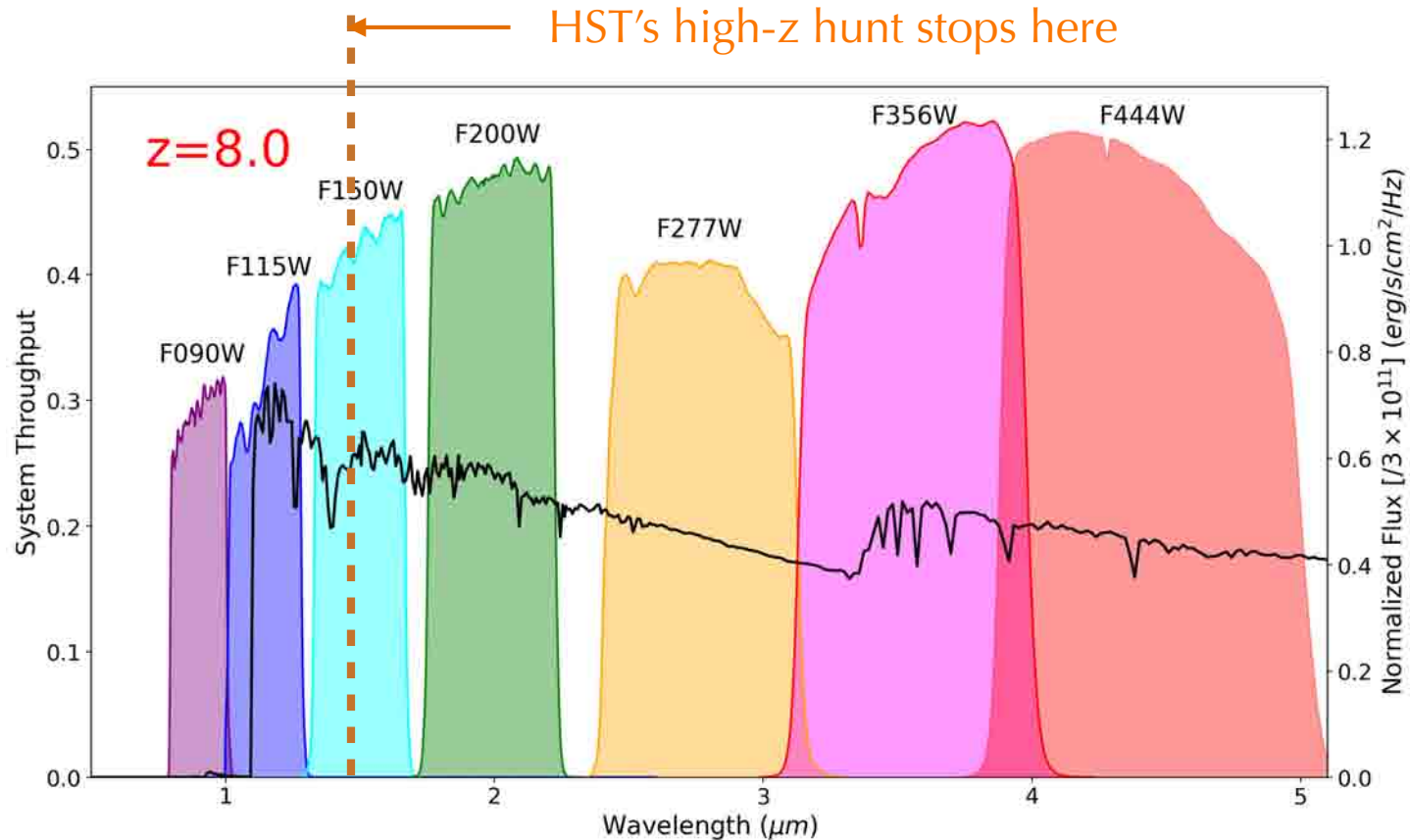
<sup>2</sup> Department of Astronomy, University of Massachusetts, Amherst, MA 01003, USA

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- ❖ For the first time, the possibility of a large population of galaxies at  $z > 11$  was clearly shown
- ❖ This challenges the previous, widely-accepted picture of galaxy formation in the early universe

# Dropout Selection of High-z Galaxies with NIRCam



- ❖ Dropout selection only depends on the line-of-sight H I absorption; it does not need to assume any specific type of galaxy SEDs
- ❖ Selecting F150W-, F200W-, and F277W-dropouts at  $z \sim 12.7$  ( $11.3 < z < 15.4$ ), 17.3 ( $15.4 < z < 21.8$ ) and 24.7 ( $21.8 < z < 28.3$ )

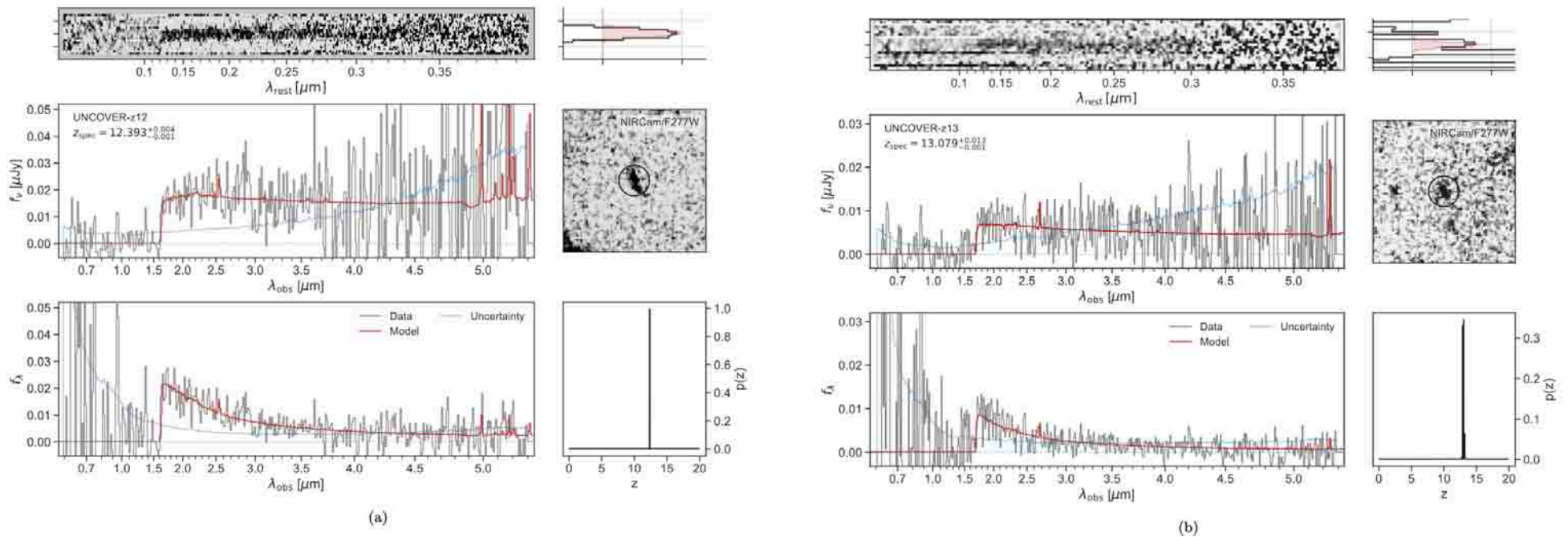
## New Consensus after Year-1

- ❖ Candidate selections by various teams agree that there is a “too-bright and too-many” problem at  $z > 11$  as compared to the previous studies
- ❖ Spectroscopic confirmation of some  $z > 11$  galaxies suggests that the previous studies indeed underestimated the number density of galaxies at  $z > 11$
- ❖ A new picture must be built; many details need to be filled in



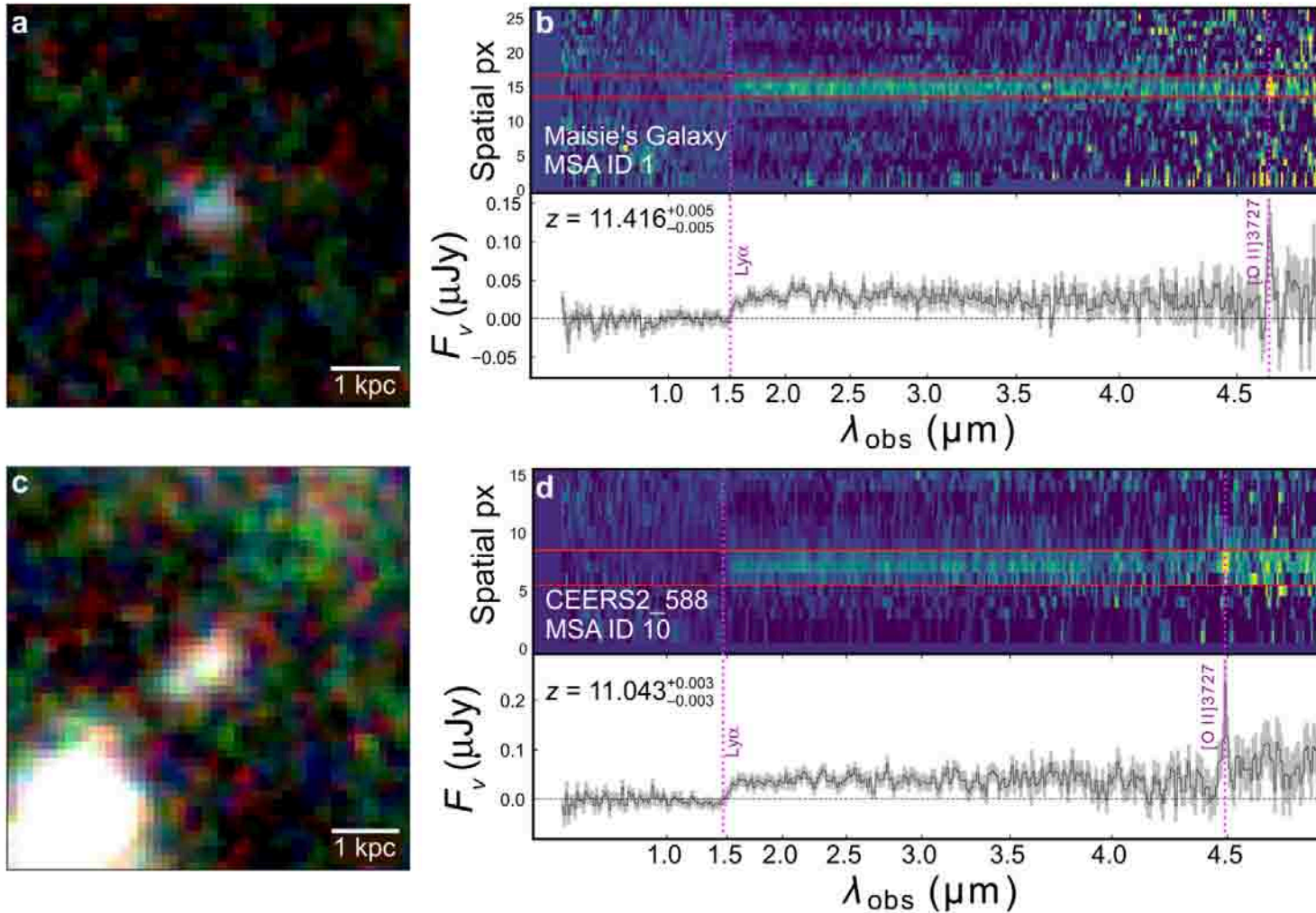


# More spectroscopic confirmations



- ❖ The UNCOVER collaboration identified a  $z=12.39$  galaxy and a tentative  $z=13.08$  one (Wang et al. 2023)

# More spectroscopic confirmations



- ❖ The CEERS collaboration identified one at  $z=11.42$  and another at  $z=11.04$  (Arrabal Haro et al. 2023)



# A systematic search at $z > 11.3$

The screenshot shows the arXiv page for the paper 'Candidate Galaxies at  $z \sim 11.3$ --21.8 and beyond: results from JWST's public data taken in its first year'. The page includes the Cornell University logo, a search bar, and navigation links. The main text of the abstract is visible, along with a sidebar containing links to download the PDF, view references, and bookmark the page.

Cornell University

We gratefully acknowledge support from the Simons Foundation, member institutions, and all contributors. Donate

arXiv > astro-ph > arXiv:2311.15121

Search... All fields Search

Help | Advanced Search

Astrophysics > Astrophysics of Galaxies

[Submitted on 25 Nov 2023]

## Candidate Galaxies at $z \sim 11.3$ --21.8 and beyond: results from JWST's public data taken in its first year

Haojing Yan, Bangzheng Sun, Zhiyuan Ma, Chenxiaoji Ling

We present a systematic search of candidate galaxies at  $z > 11.3$  using the public Near Infrared Camera data taken by the James Webb Space Telescope (JWST) in its Cycle 1, which include six blank fields totalling 386 sq.arcmin and two lensing cluster fields totalling 48 sq.arcmin. The candidates are selected as F150W, F200W and F277W dropouts, which correspond to  $z \sim 12.7$  ( $11.3 < z < 15.4$ ),  $17.3$  ( $15.4 < z < 21.8$ ) and  $24.7$  ( $21.8 < z < 28.3$ ), respectively. Our sample consists of 123 F150W dropouts, 52 F200W dropouts and 32 F277W dropouts, which is the largest candidate galaxy sample probing the highest redshift range to date. The F150W and F200W dropouts have sufficient photometric information that allows contaminant rejection, which we do by fitting to their spectrum energy distributions. Based on the purified samples of F150W and F200W dropouts, we derive galaxy luminosity functions at  $z \sim 12.7$  and  $17.3$ , respectively. We find that both are better described by power law than Schechter function and that there is only a marginal evolution (a factor of  $< 2$ ) between the two epochs. The emergence of galaxy population at  $z \sim 17.3$  or earlier is consistent with the suggestion of an early cosmic hydrogen reionization and is not necessarily a crisis of the LCDM paradigm. To establish a new picture of galaxy formation in the early universe, we will need both JWST spectroscopic confirmation of bright candidates such as those in our sample and deeper surveys to further constrain the faint-end of the luminosity function at  $M > -18$  mag.

Comments: Submitted to ApJ

Subjects: **Astrophysics of Galaxies (astro-ph.GA)**

Cite as: arXiv:2311.15121 [astro-ph.GA]  
(or arXiv:2311.15121v1 [astro-ph.GA] for this version)  
<https://doi.org/10.48550/arXiv.2311.15121>

### Submission history

From: Haojing Yan [view email]  
[v1] Sat, 25 Nov 2023 21:53:08 UTC (34,552 KB)

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- ❖ We carried out a systematic search for candidate galaxies at  $z > 11.3$  using the year-1 public JWST data totaling 434 arcmin<sup>2</sup>

# Using Public NIRCам Data in Cycle-1

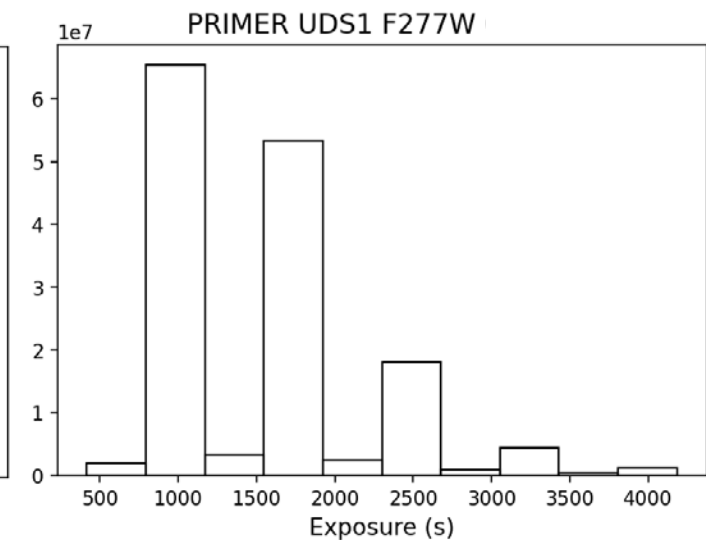
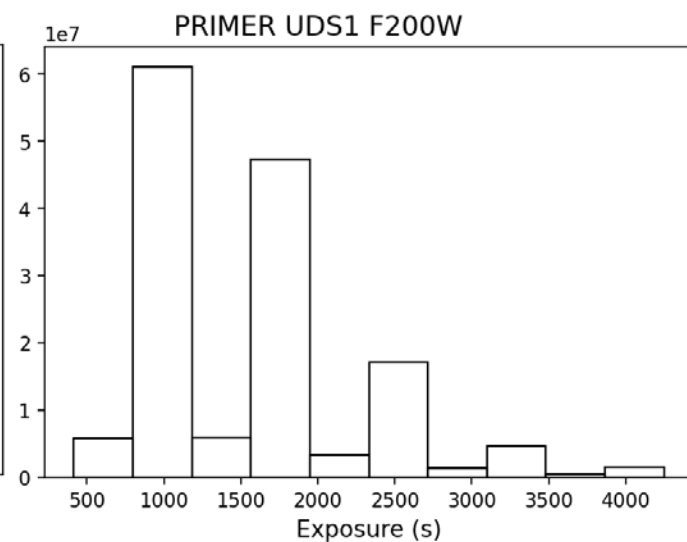
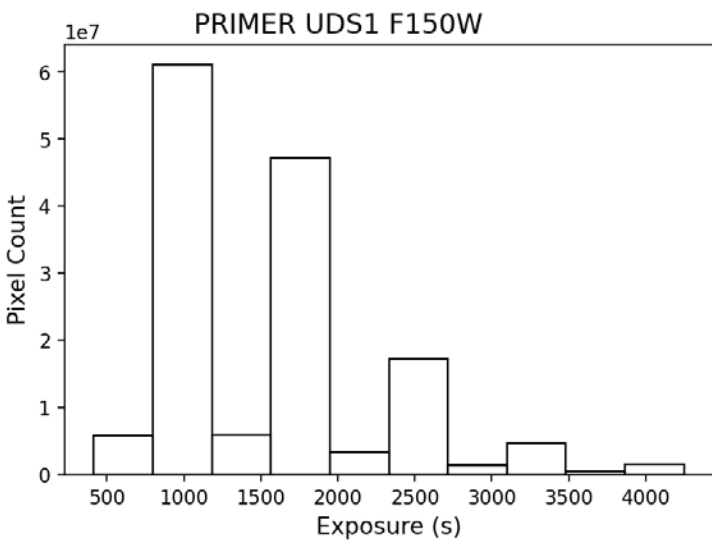
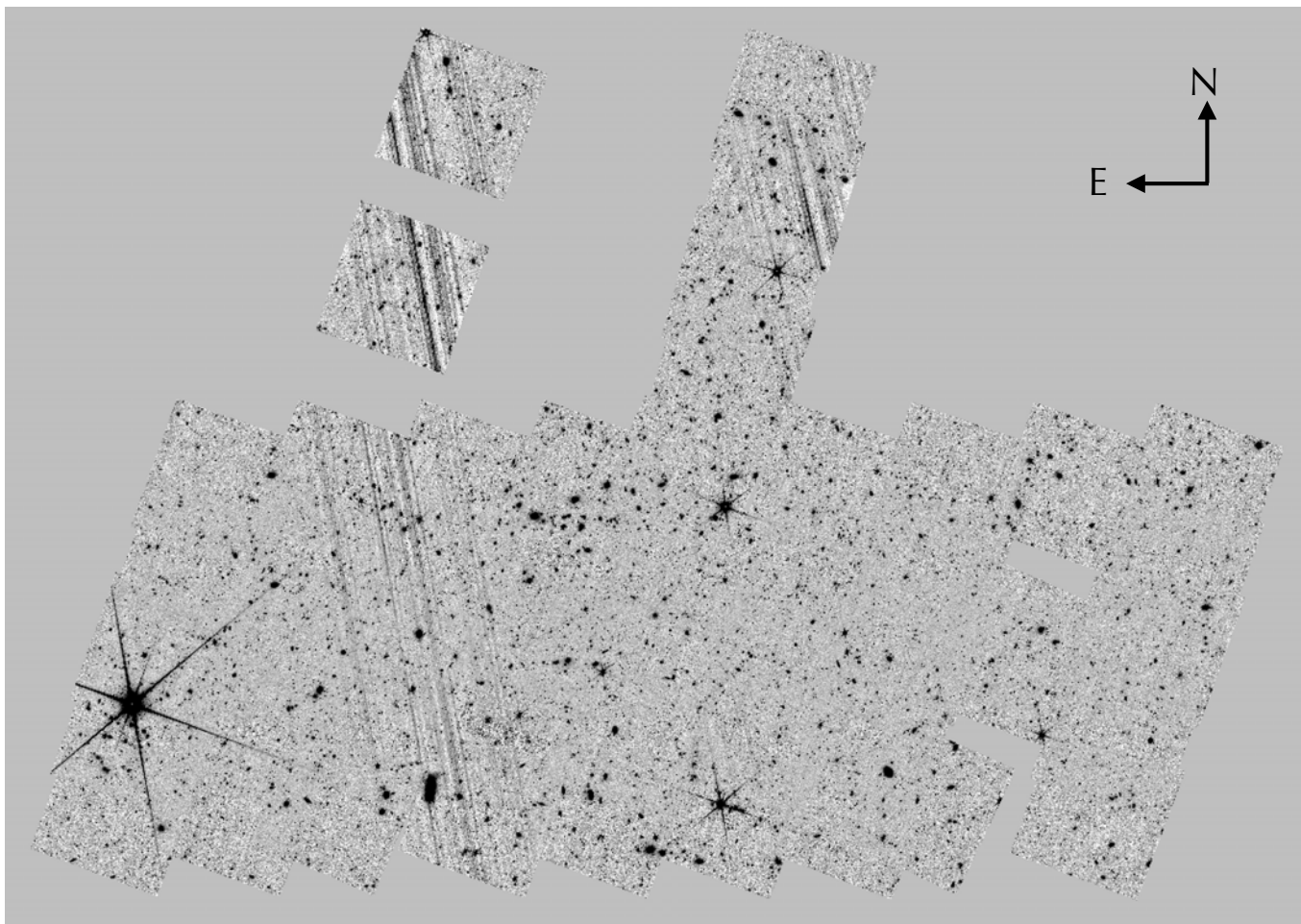
- ❖ Cycle-1 NIRCам broad-band data in the public domain:
  - \* PRIMER (UDS1 + COSMOS; Dunlop et al. 2022)
  - \* CEERS (in EGS; Finkelstein et al. 2022)
  - \* NGDEEP (parallel field P1 to the HUDF)
  - \* JADES (DR1 in GOODS-S; Rieke et al. 2023)
  - \* UNCOVER + DD2756 (in and around Abell 2744; Bezanson et al. 2022; Chen et al. 2023)
  - \* GLASS (parallel field to Abell 2744; Treu et al. 2022)
  - \* ERO SMACS 0723 (Pontoppidan et al. 2022)
- ❖ We used our own reductions for all, except JADES in GOODS-S

# PRIMER UDS1

Effective area  
114.45 arcmin<sup>2</sup>

F090W, F115W, F150W, F200W  
F277W, F356W, F410M, F444W

~1-2 ks



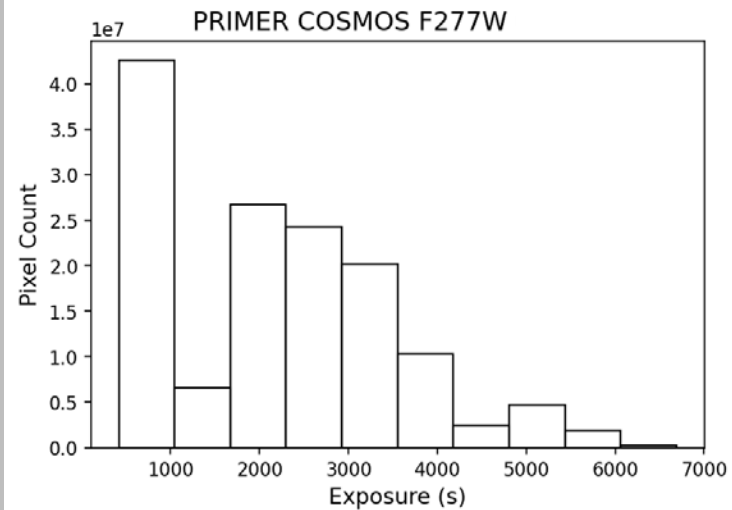
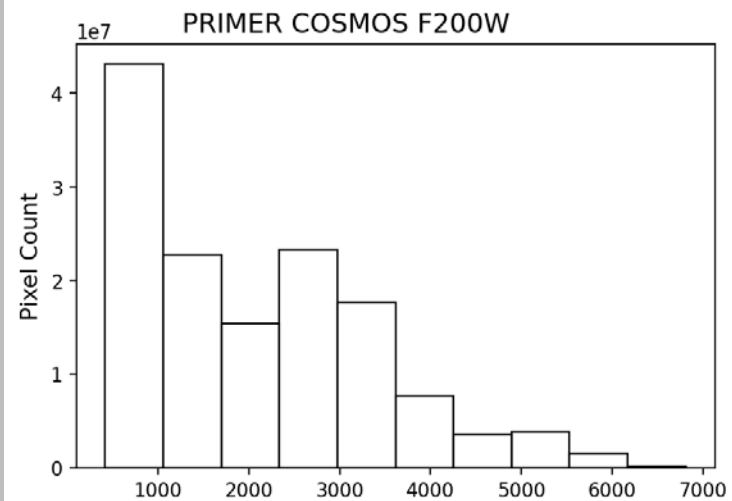
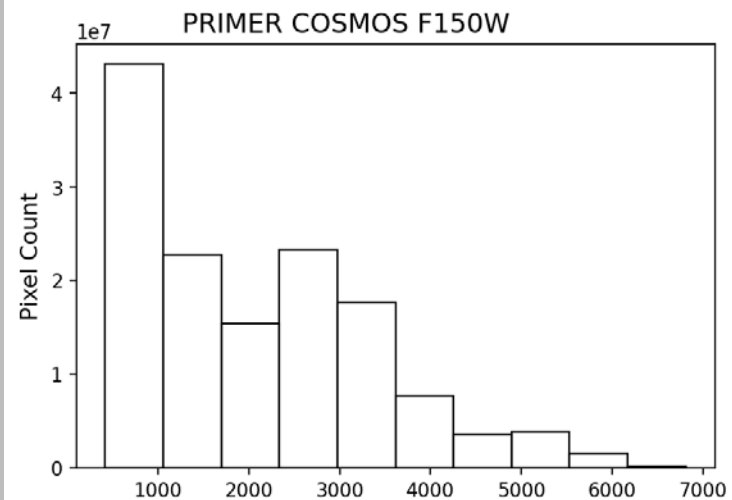
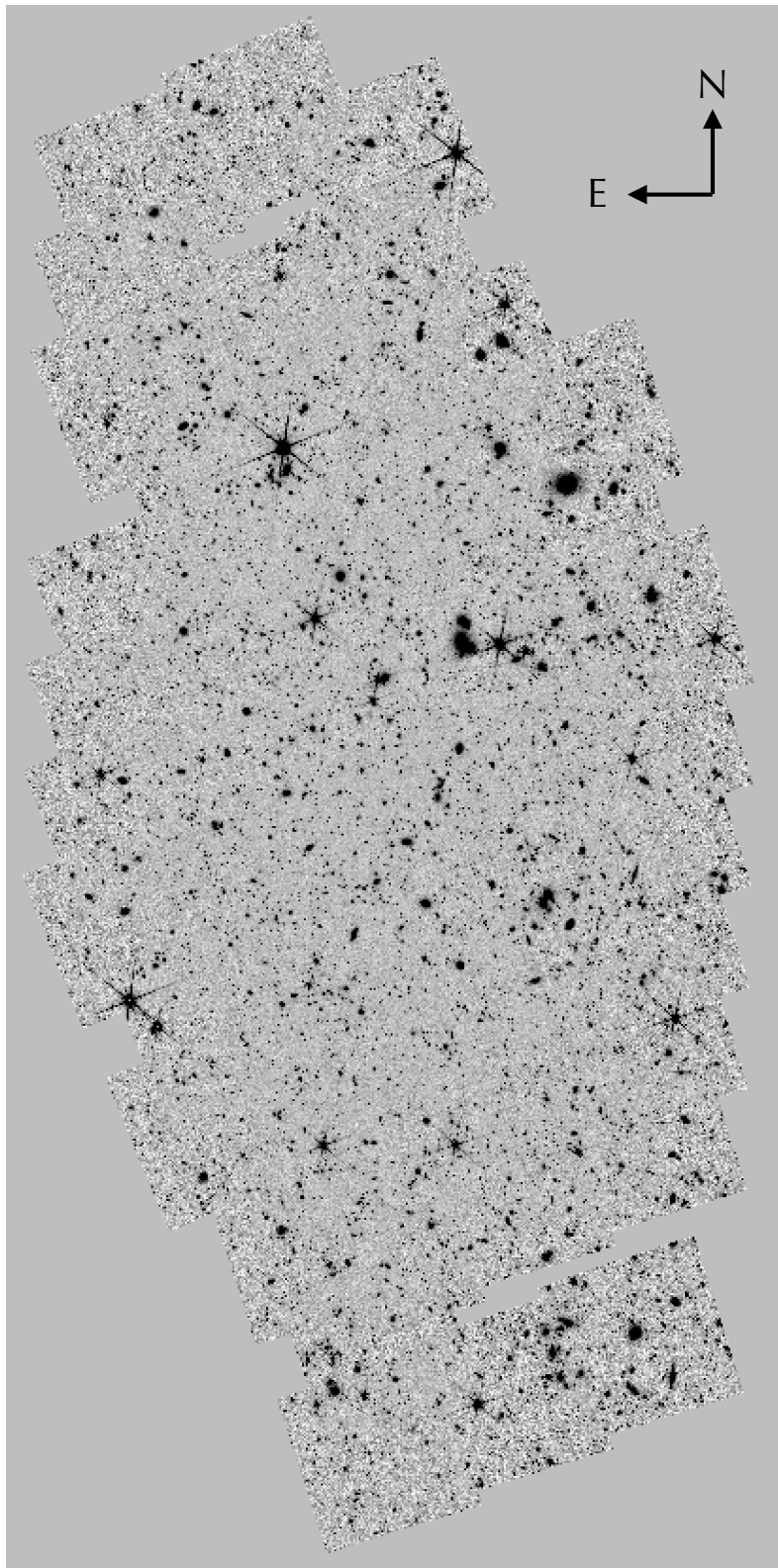


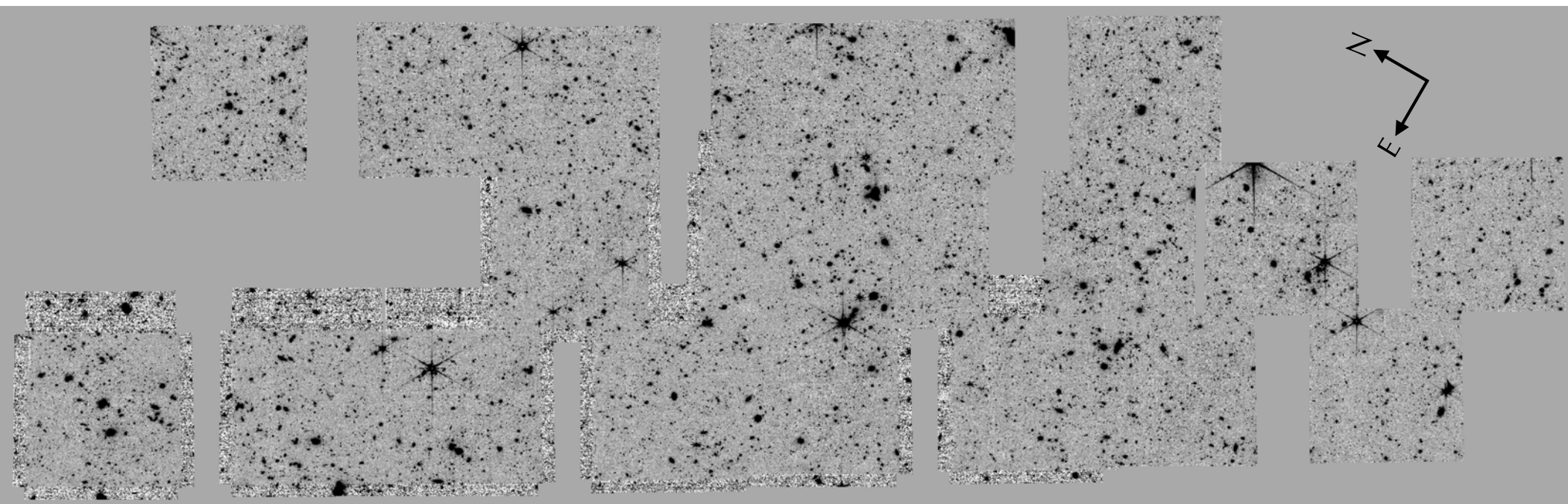
# PRIMER COSMOS

Effective area:  
137.13 arcmin<sup>2</sup>

F090W, F115W,  
F150W, F200W  
F277W, F356W,  
F410M, F444W

~2.5 ks



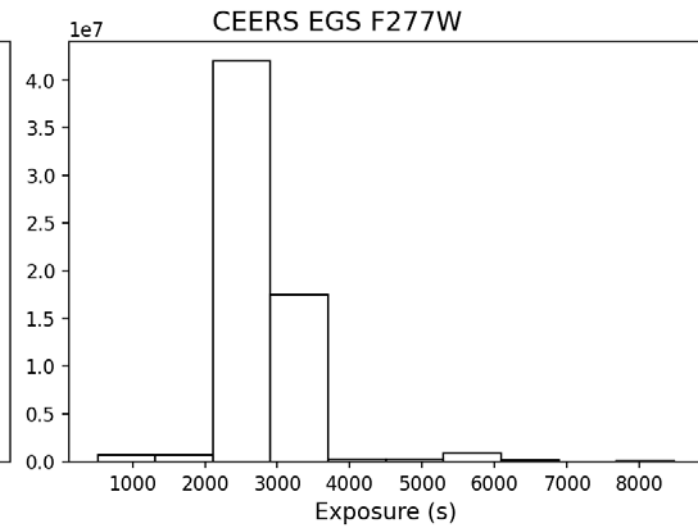
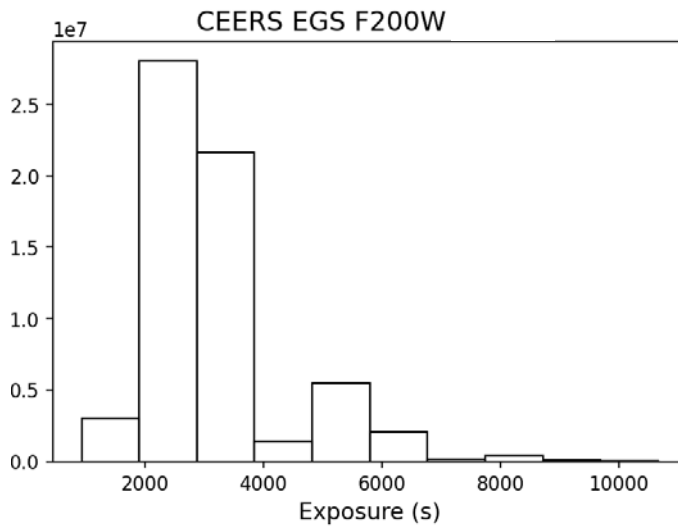
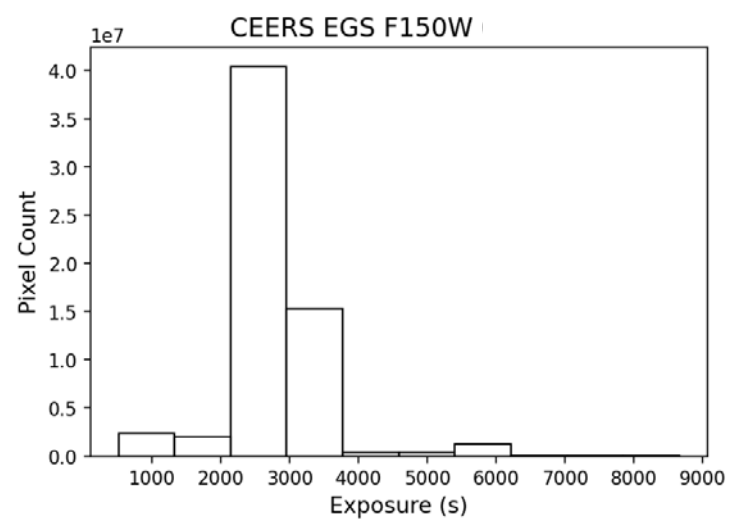


# CEERS

Effective area 86.45 arcmin<sup>2</sup>

F115W, F150W, F200W, F277W, F356W, F410M, F444W

~2.5 ks

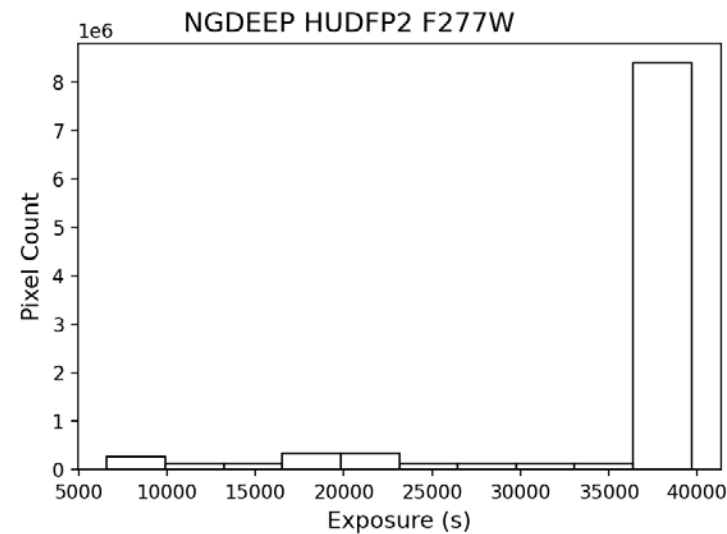
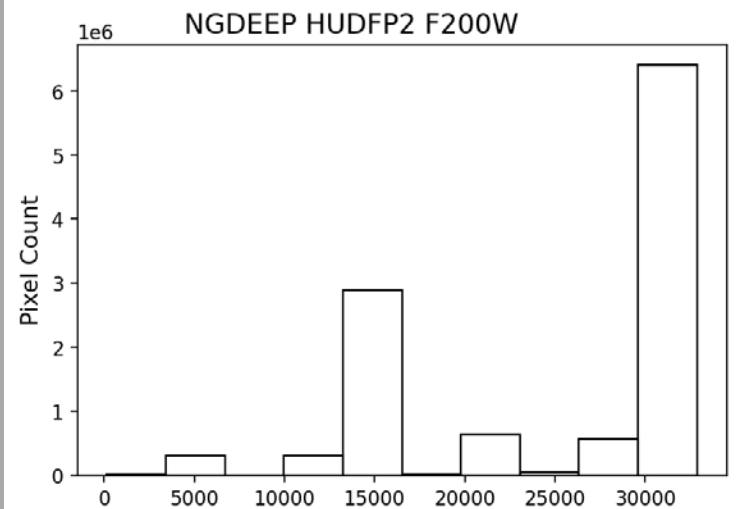
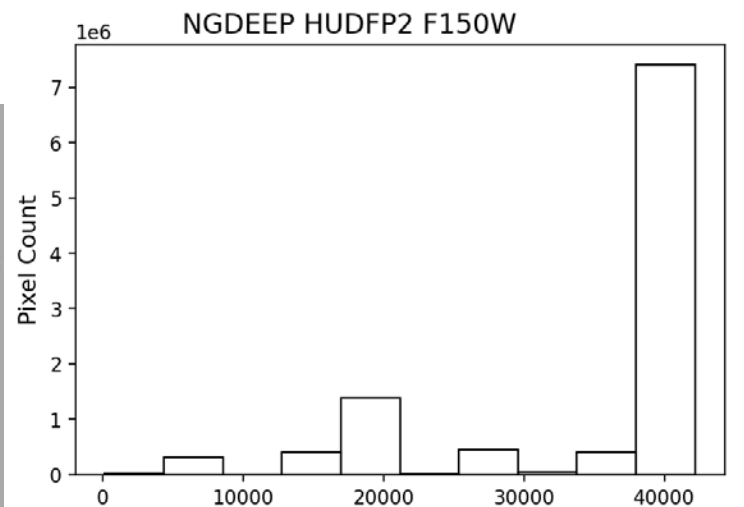
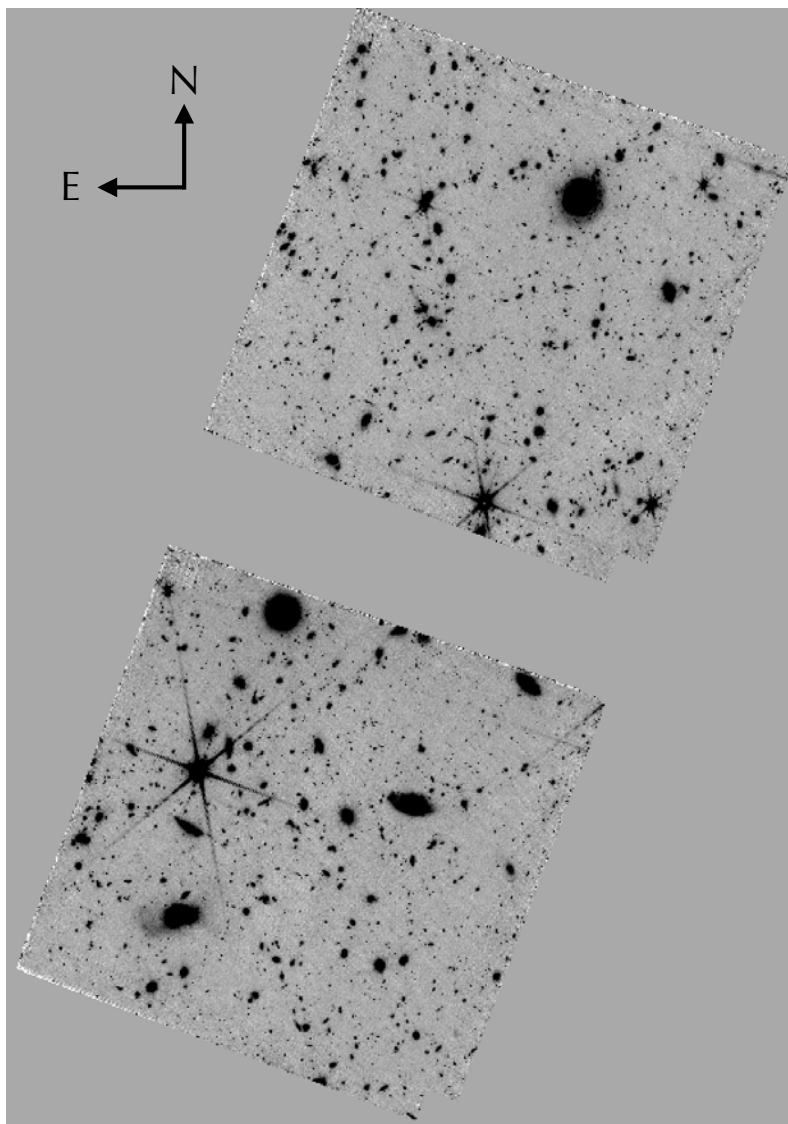


# NGDEEP

Effective area:  
9.14 arcmin<sup>2</sup>

F115W, F150W, F200W  
F277W, F356W, F444W

~30-40 ks





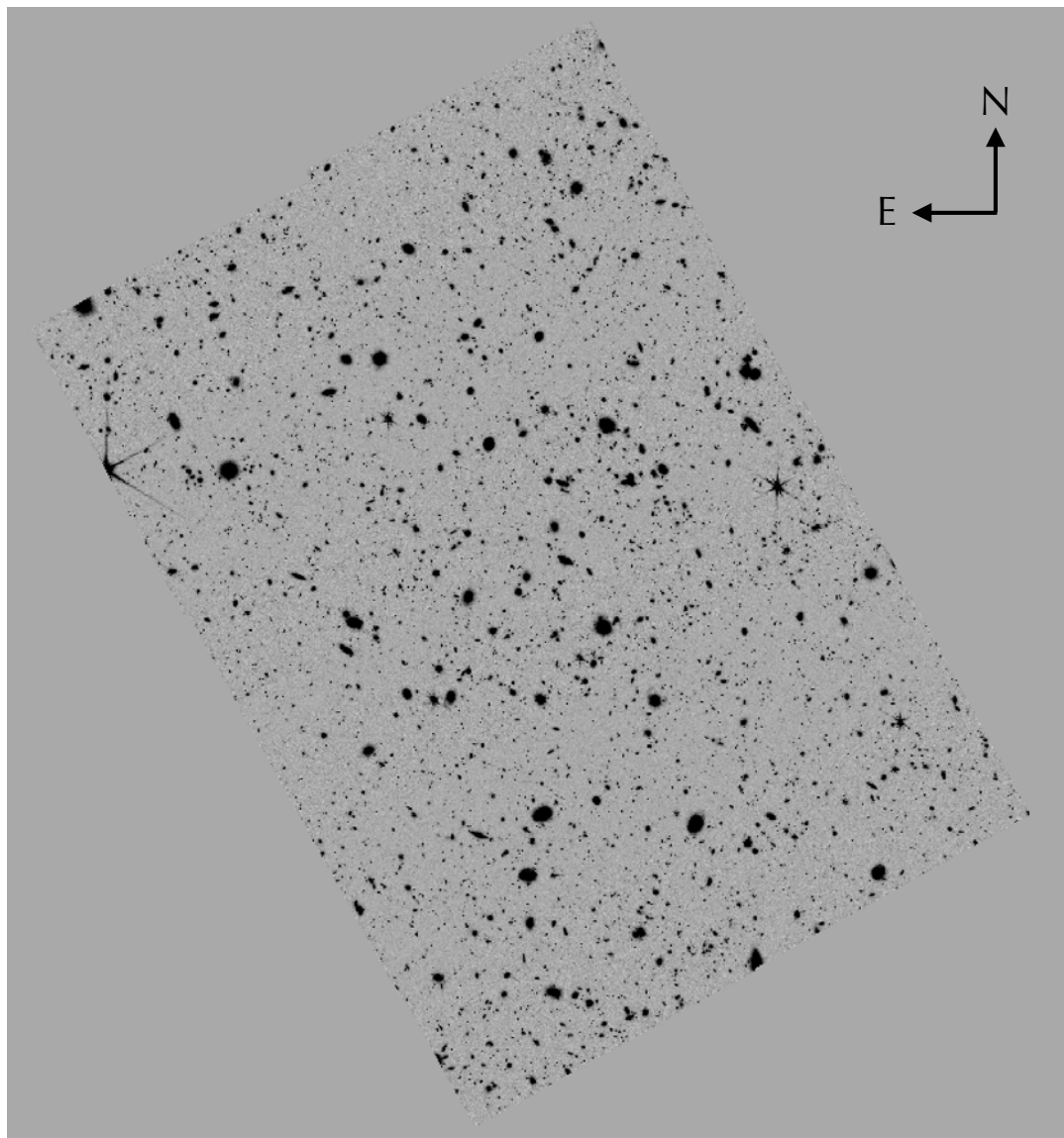
# JADES DR1

Effective area:  
25.5 arcmin<sup>2</sup>

F090W, F115W, F150W, F200W

F277W, F355M, F356W, F410M, F444W

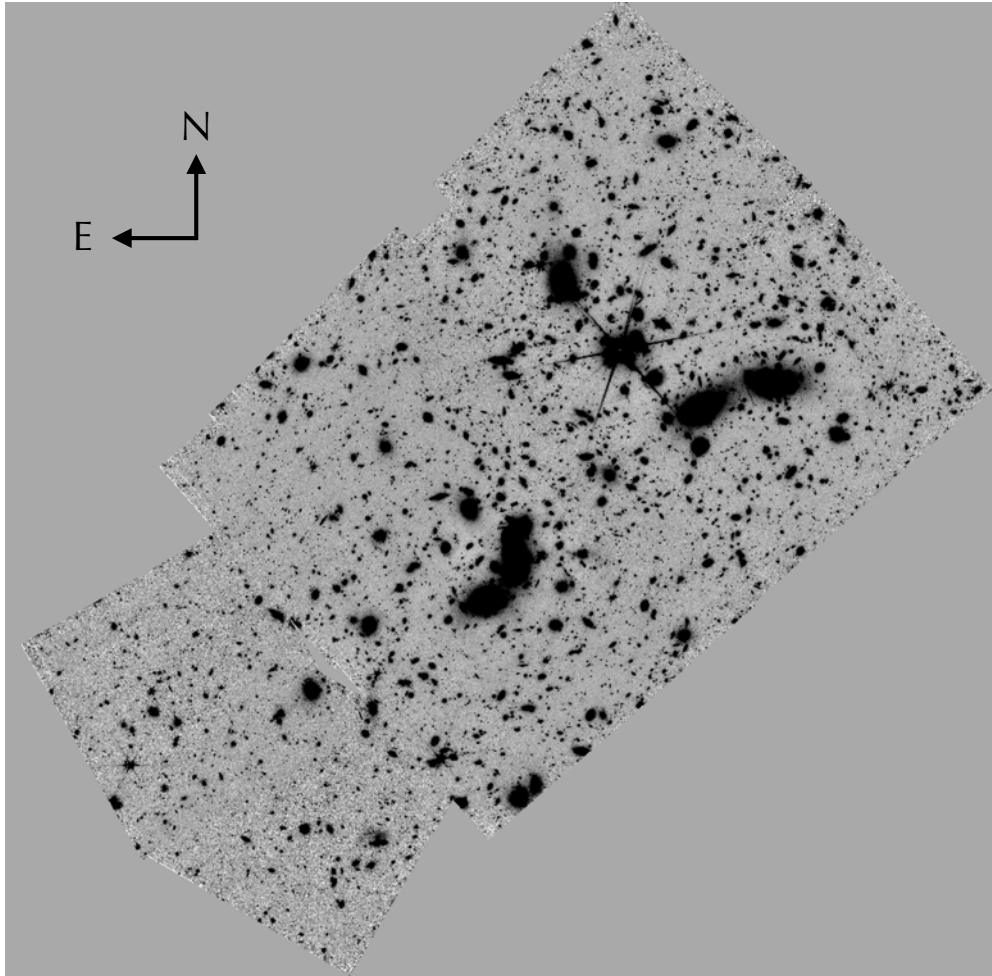
Exposure times 14 - 60 ks per band



# UNCOVER + DD2756

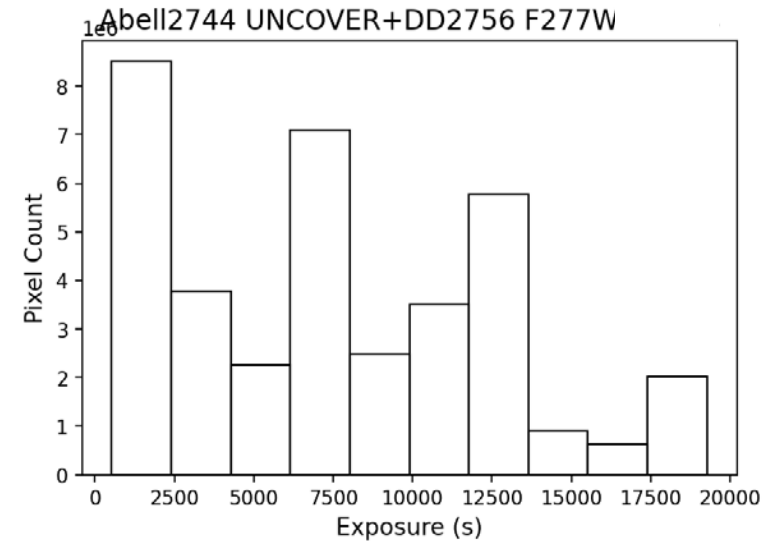
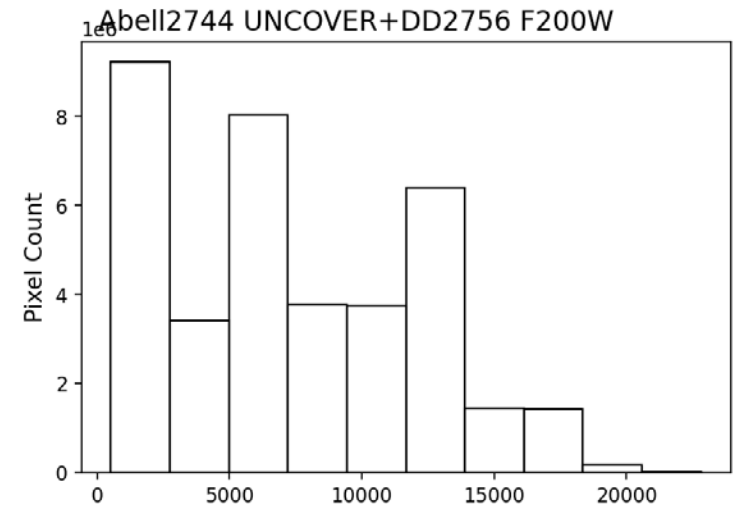
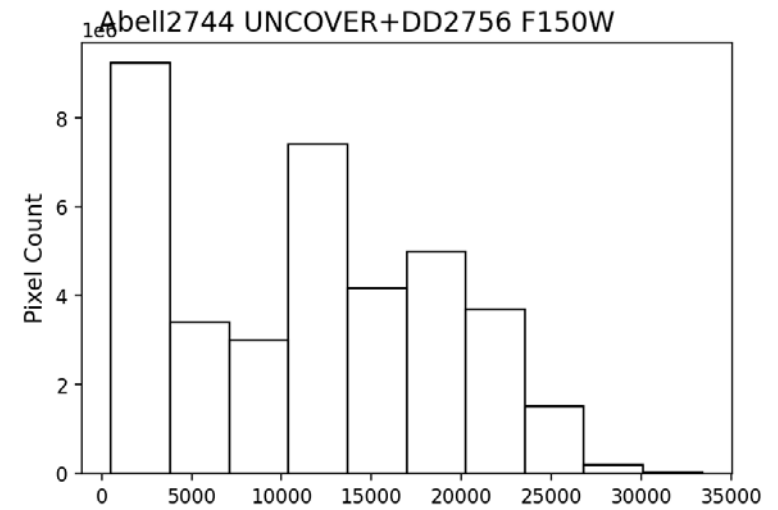
Effective area

37.04 arcmin<sup>2</sup>



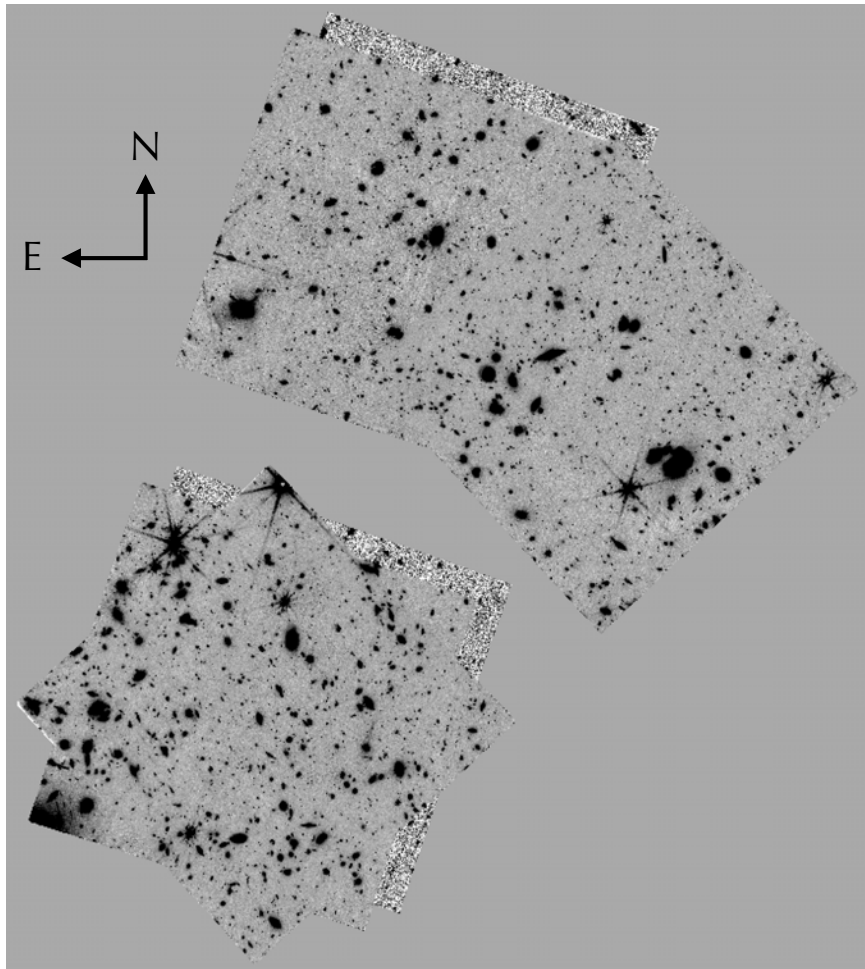
F115W, F150W, F200W  
F277W, F356W, F410M, F444W

~15 ks



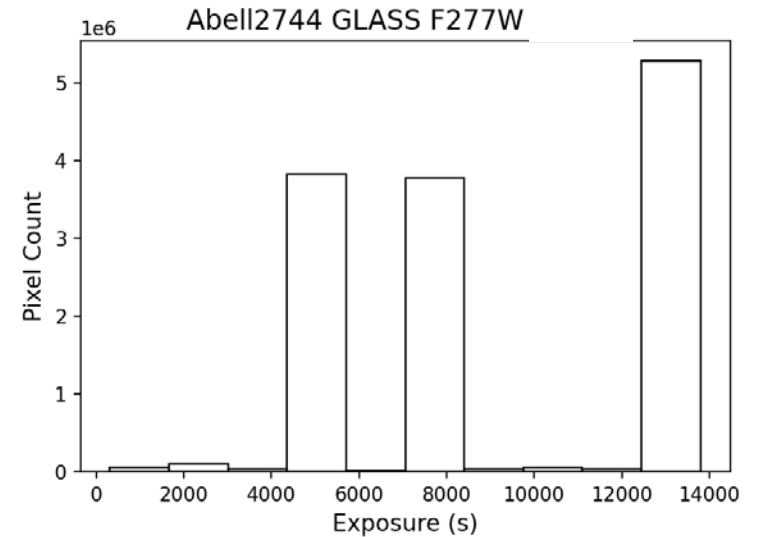
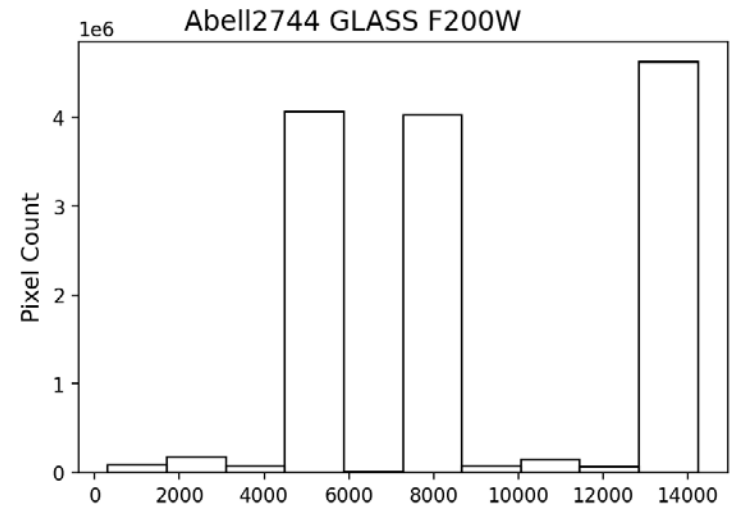
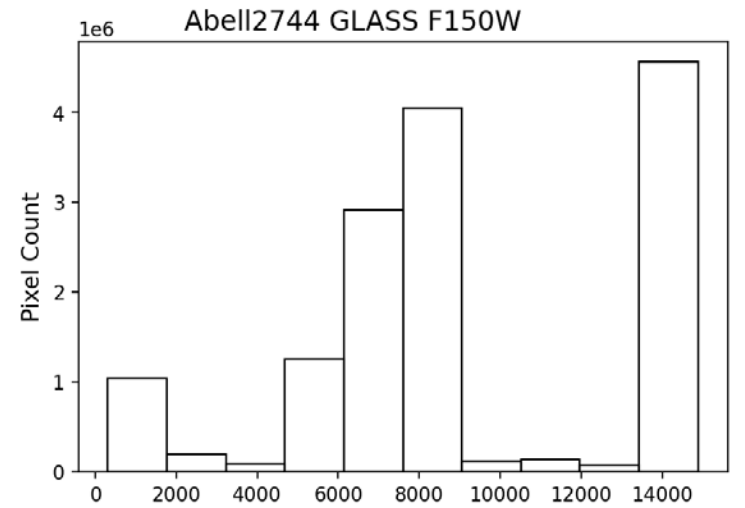
# GLASS

Effective area  
12.31 arcmin<sup>2</sup>



F090W, F115W, F150W, F200W  
F277W, F356W, F444W

~8 ks

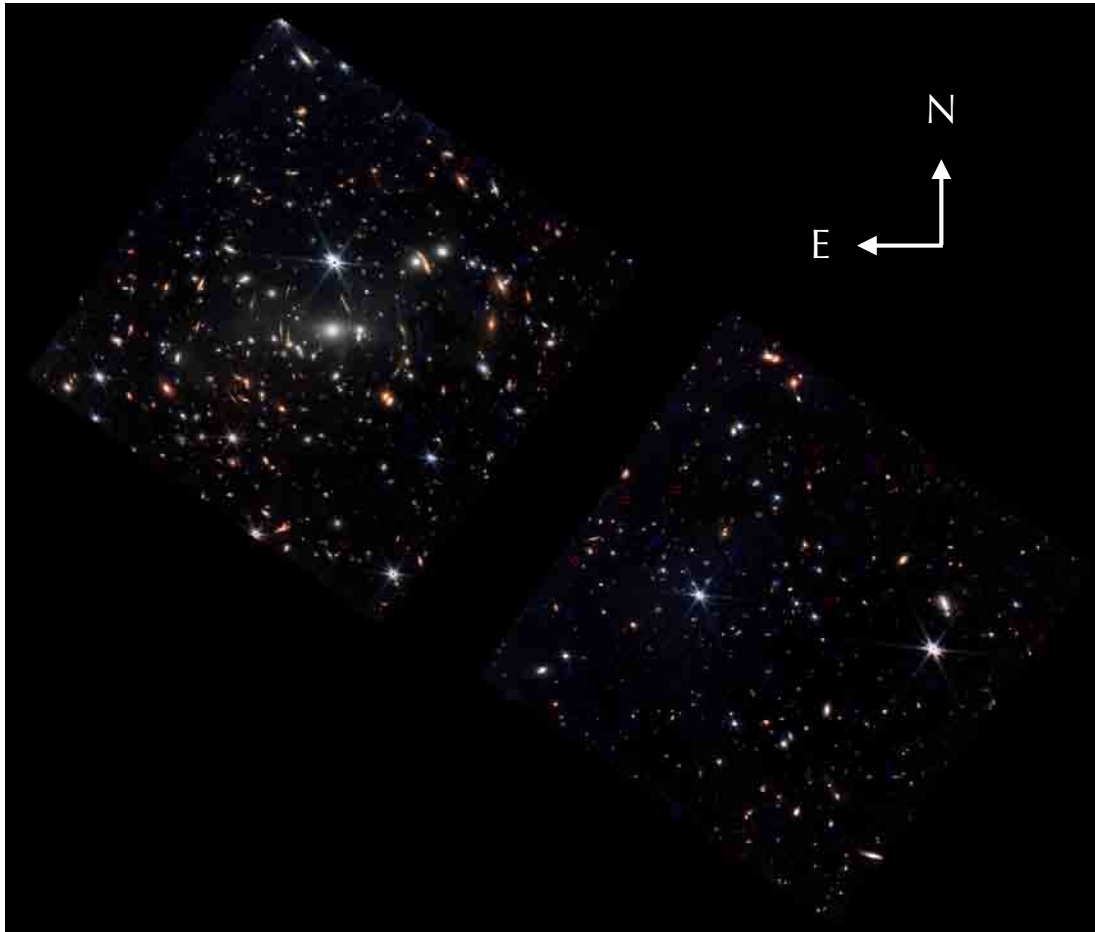




# ERO SMACS0723

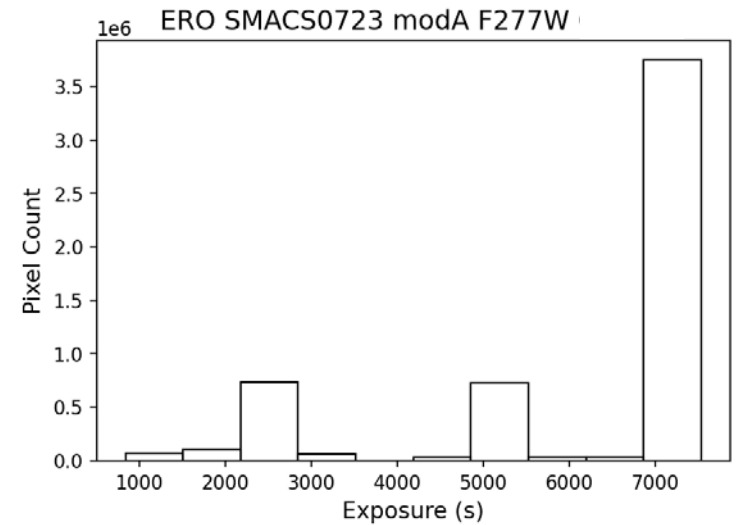
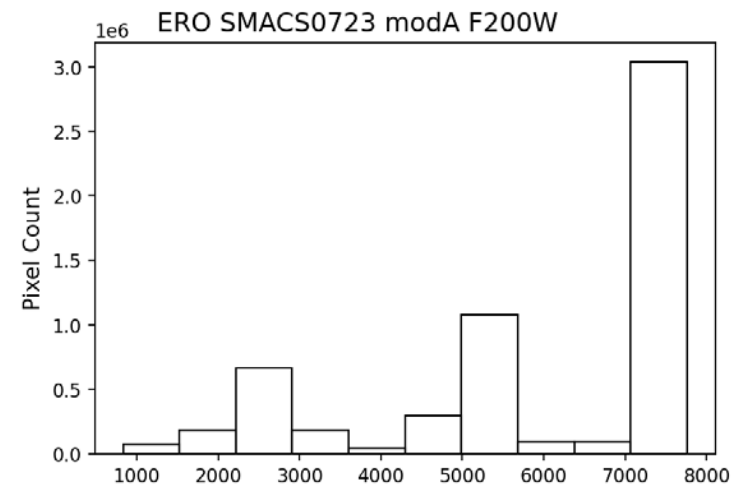
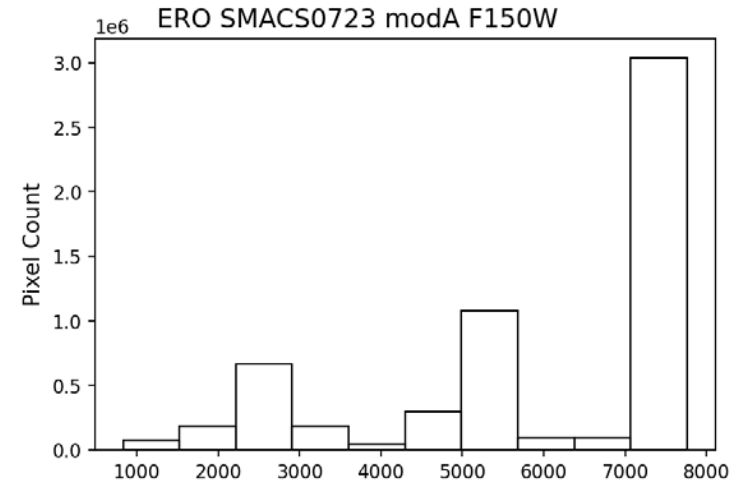
Effective area

11.08 arcmin<sup>2</sup>



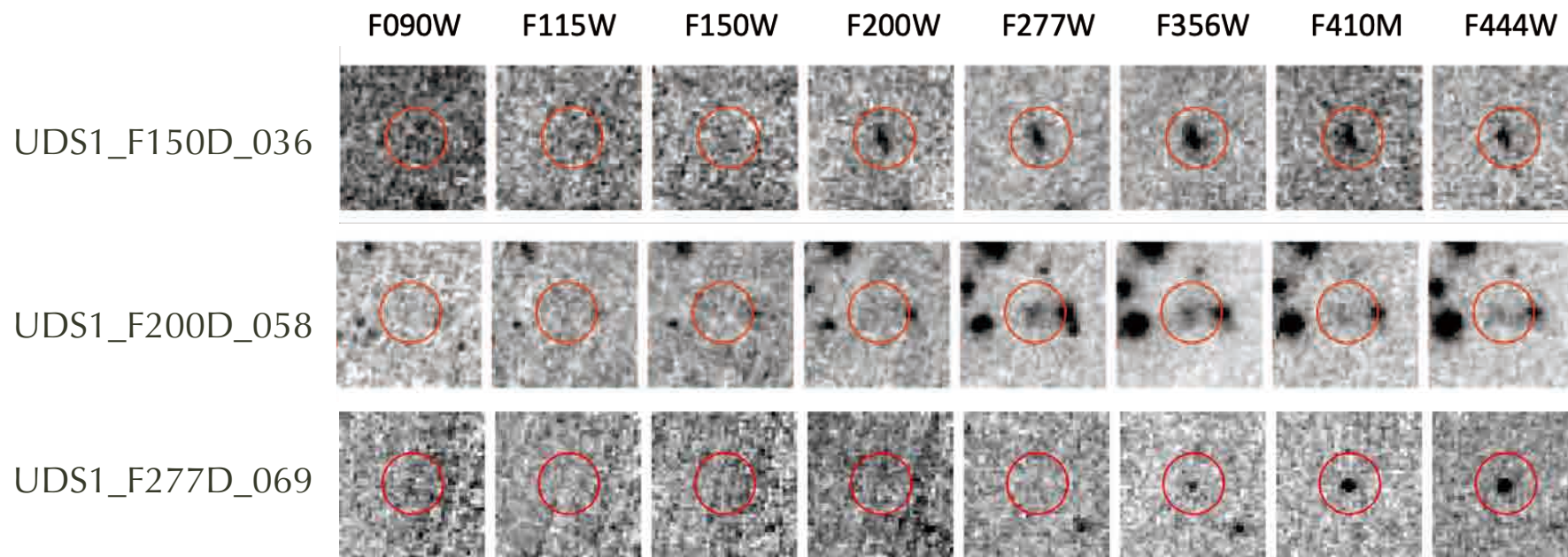
F090W, F150W, F200W  
F277W, F356W, F444W

~7 ks



# PRIMER

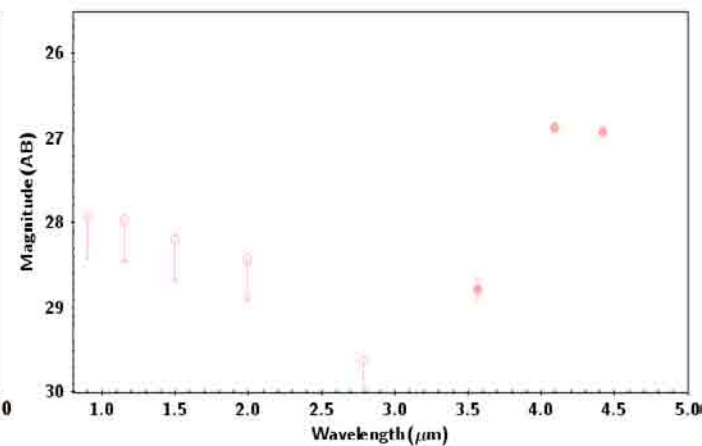
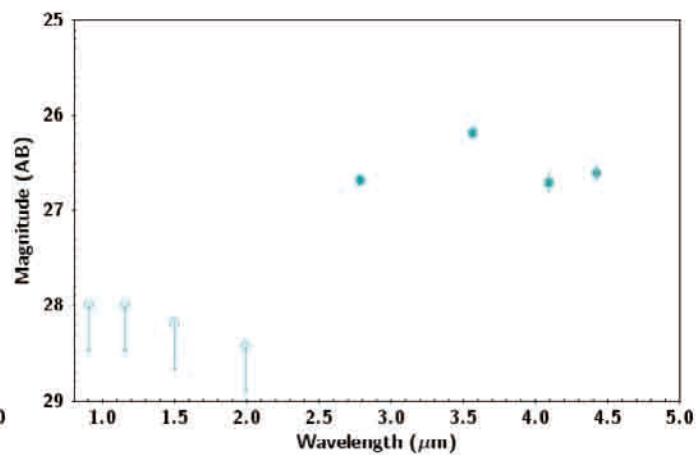
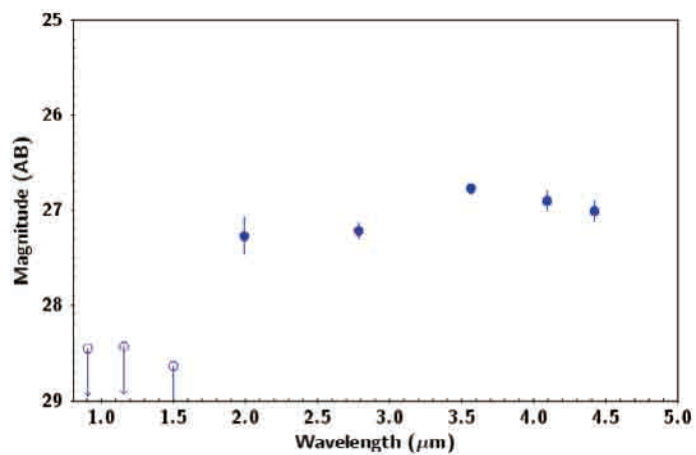
## Dropout examples



UDS1\_F150D\_036

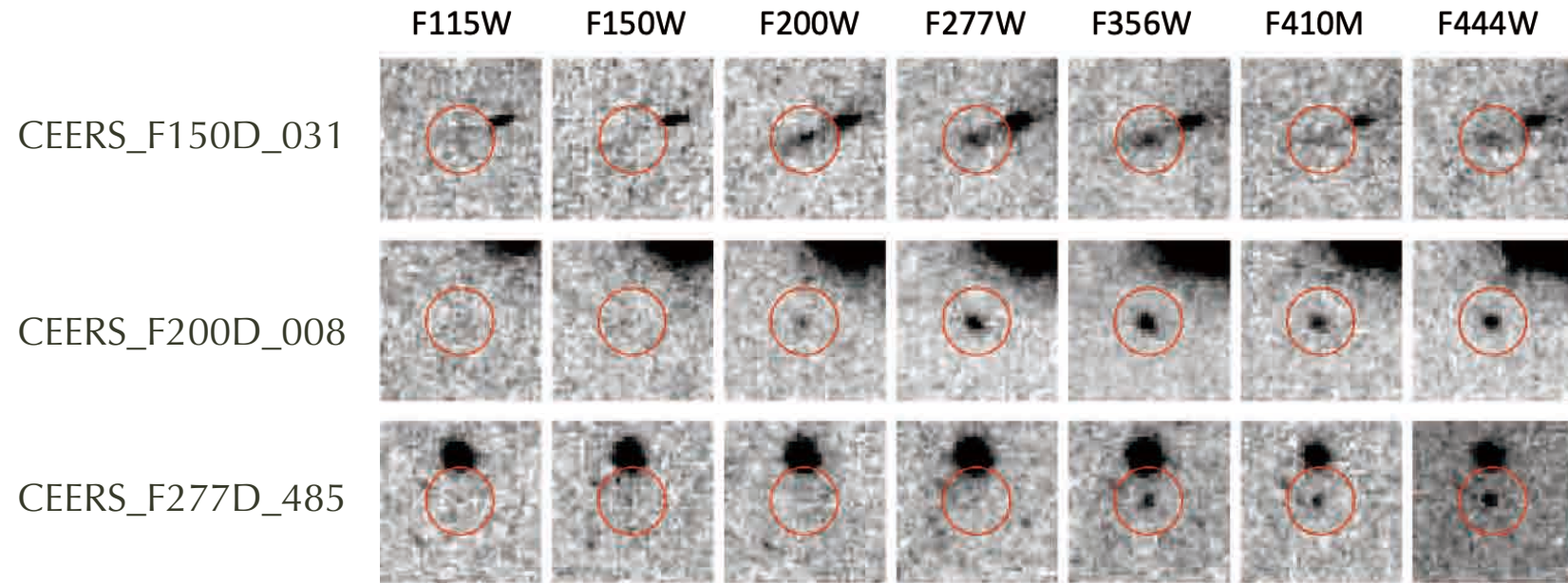
UDS1\_F200D\_058

UDS1\_F277D\_069

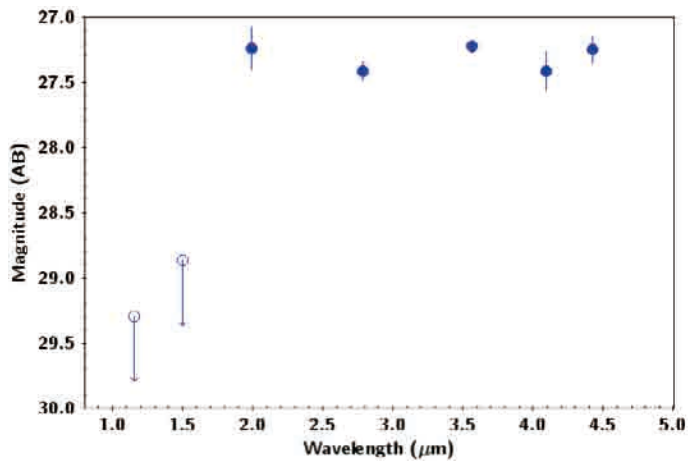


# CEERS

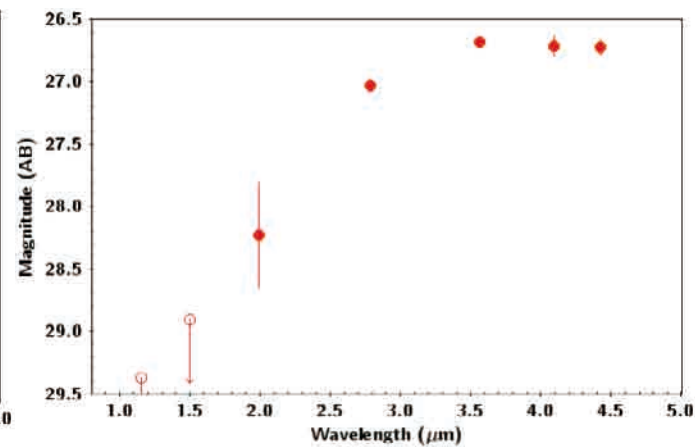
## Dropout examples



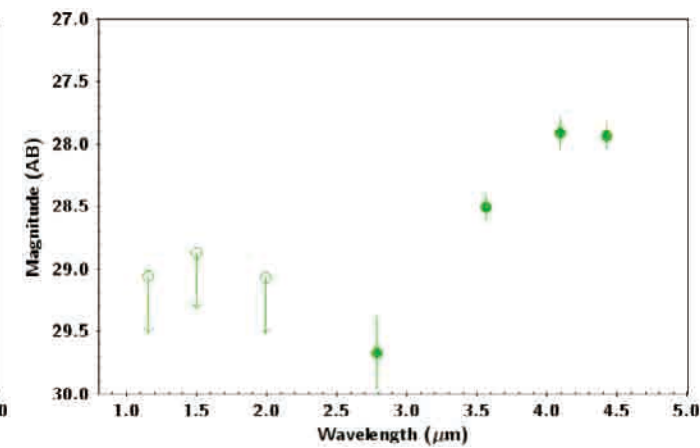
CEERS\_F150D\_031



CEERS\_F200D\_008



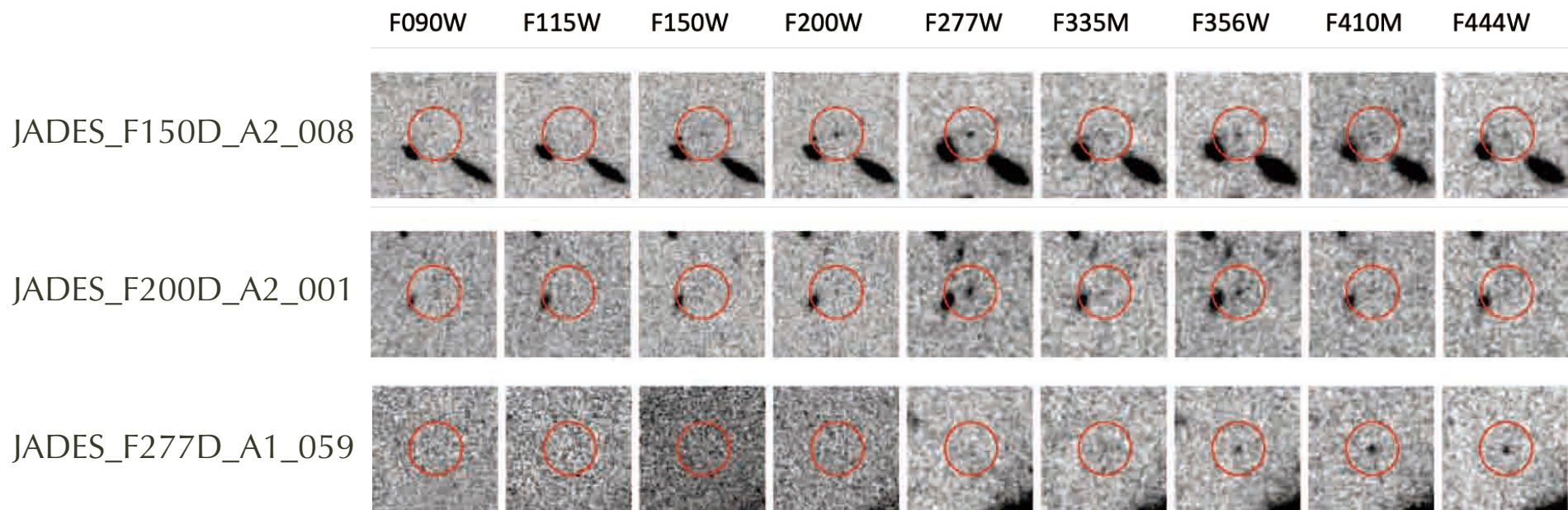
CEERS\_F277D\_485



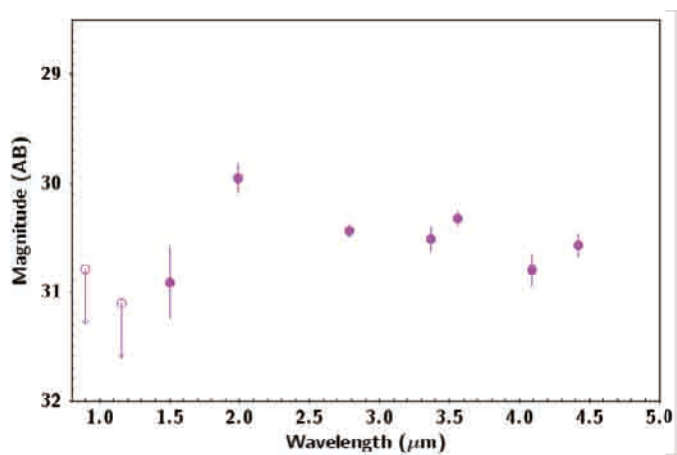


# JADES DR1

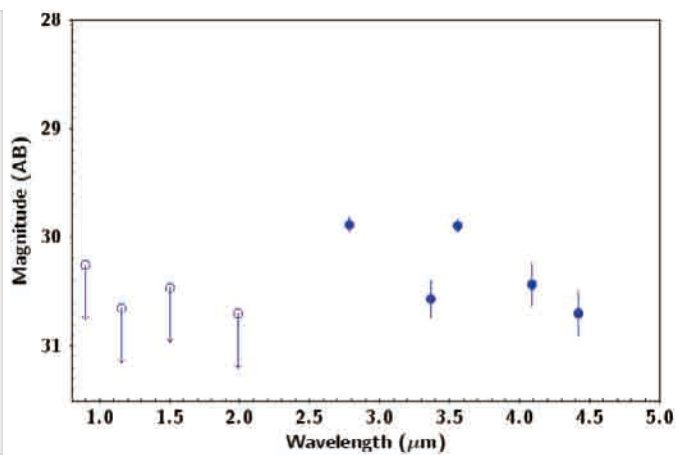
## Dropout examples



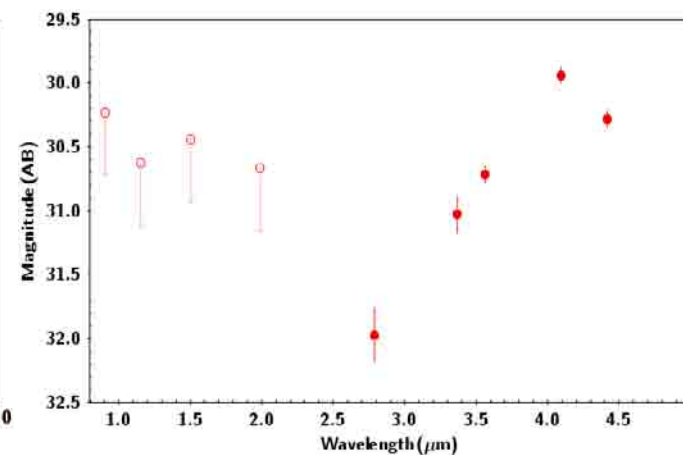
JADES\_F150D\_A2\_008



JADES\_F200D\_A2\_001

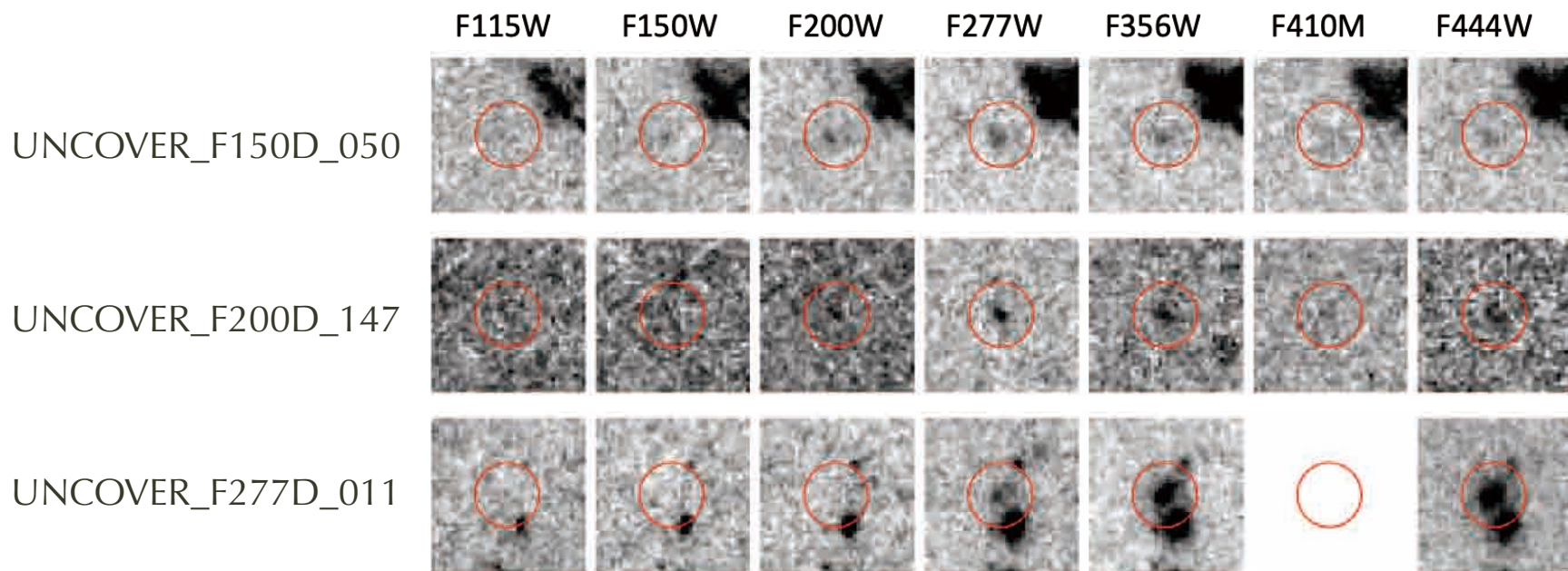


JADES\_F277D\_A1\_059

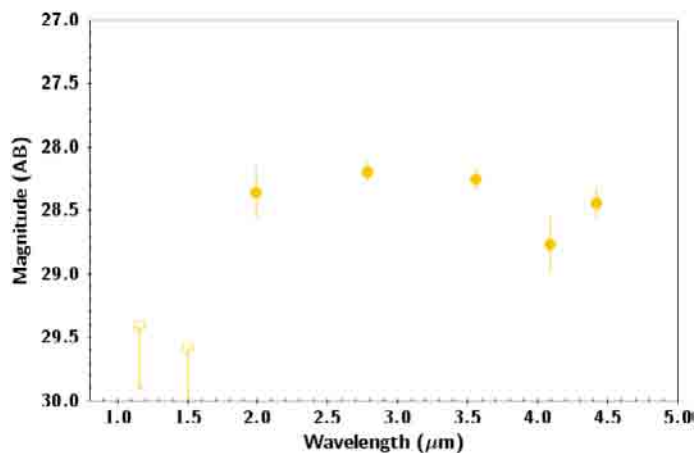


# UNCOVER+DD2756

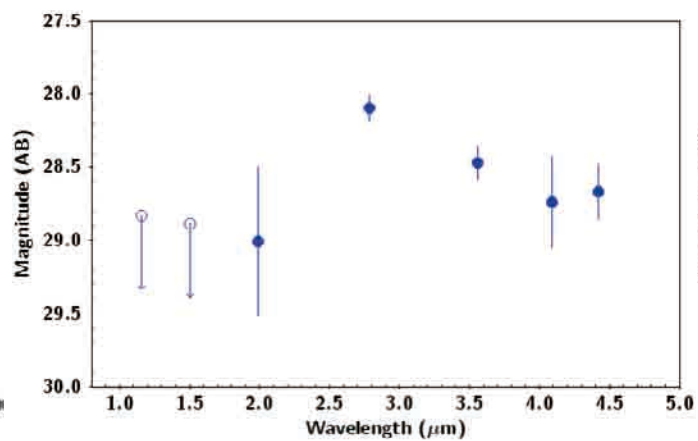
## Dropout examples



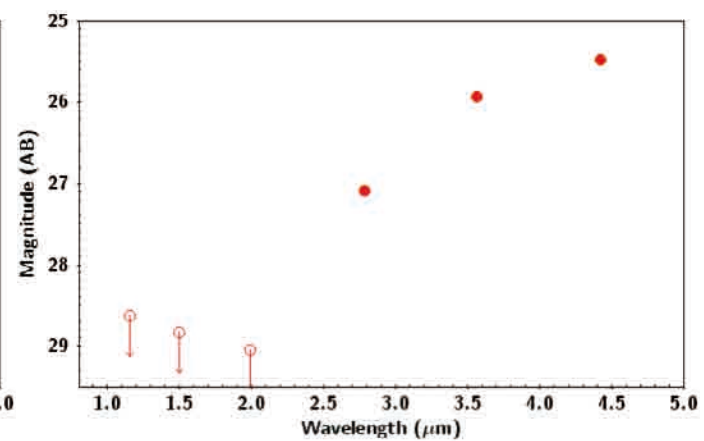
UNCOVER\_F150D\_050



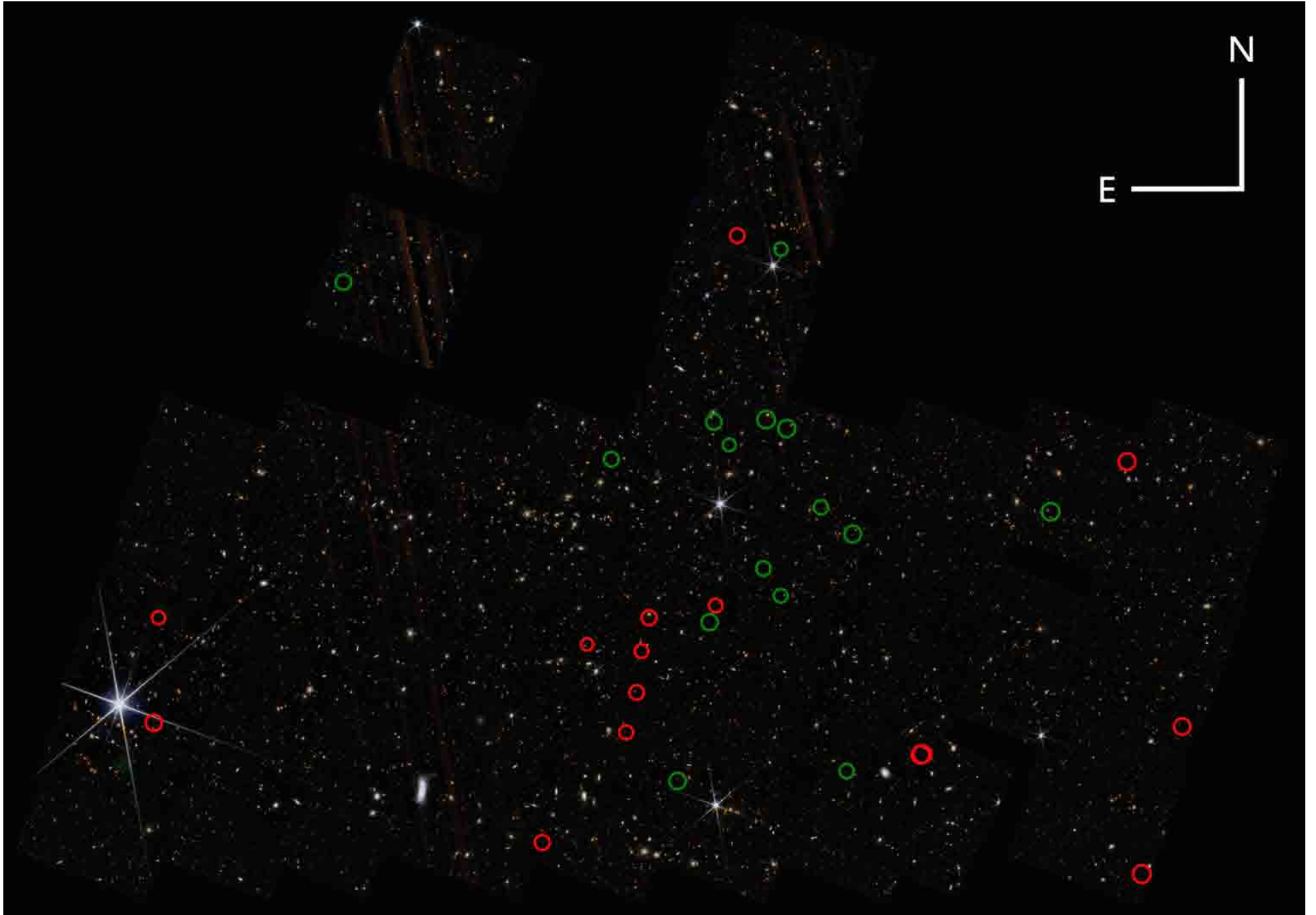
UNCOVER\_F200D\_147



UNCOVER\_F277D\_011

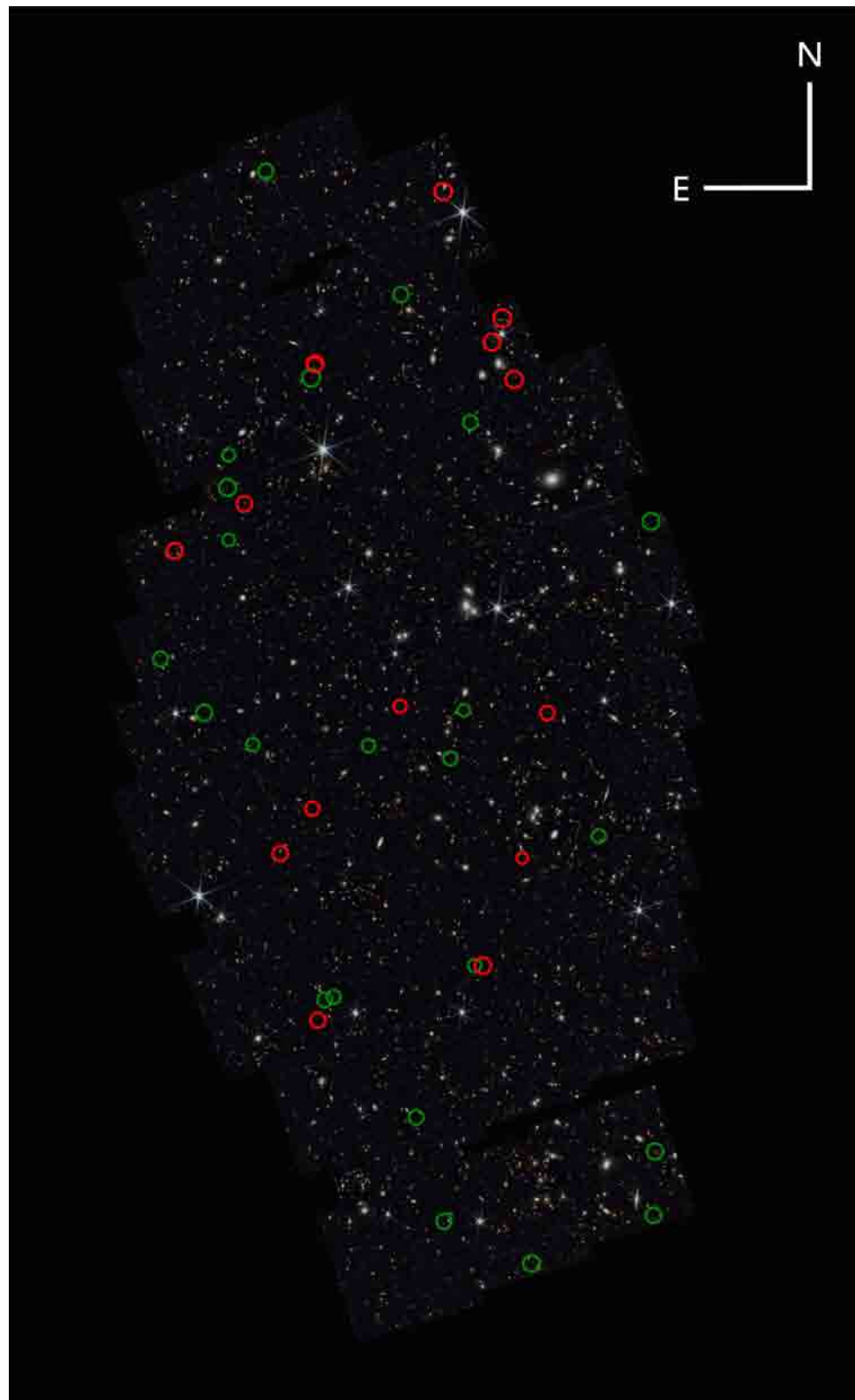


# PRIMER UDS1

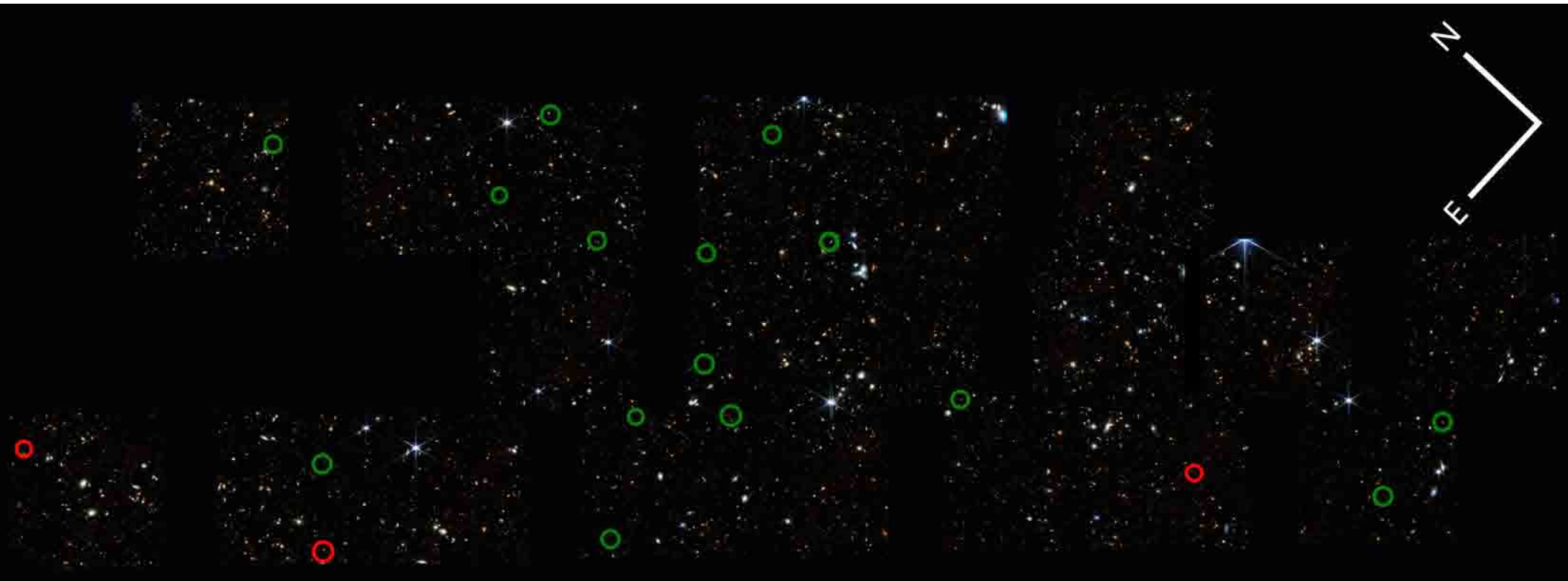




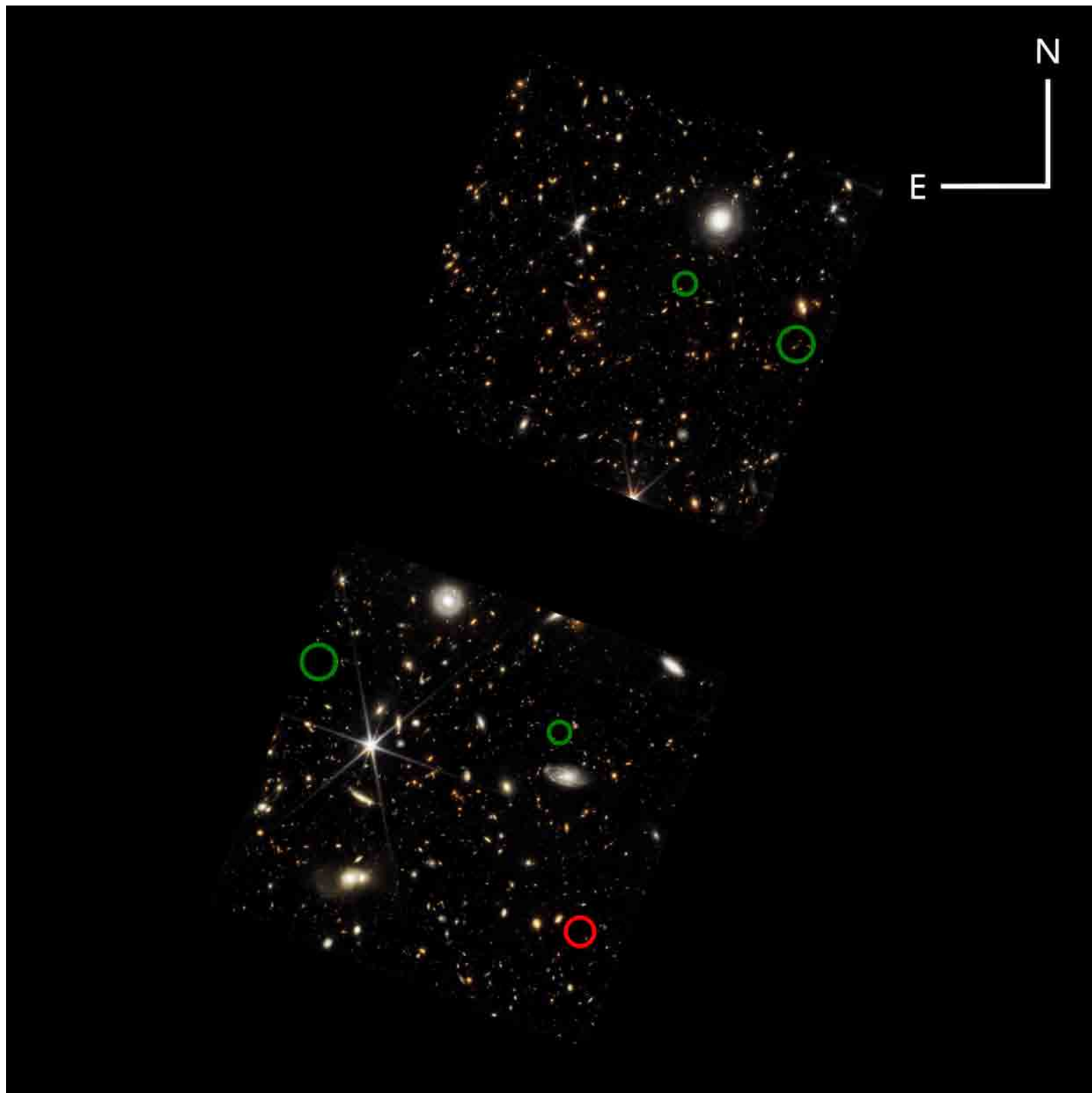
# PRIMER COSMOS



# CEERS

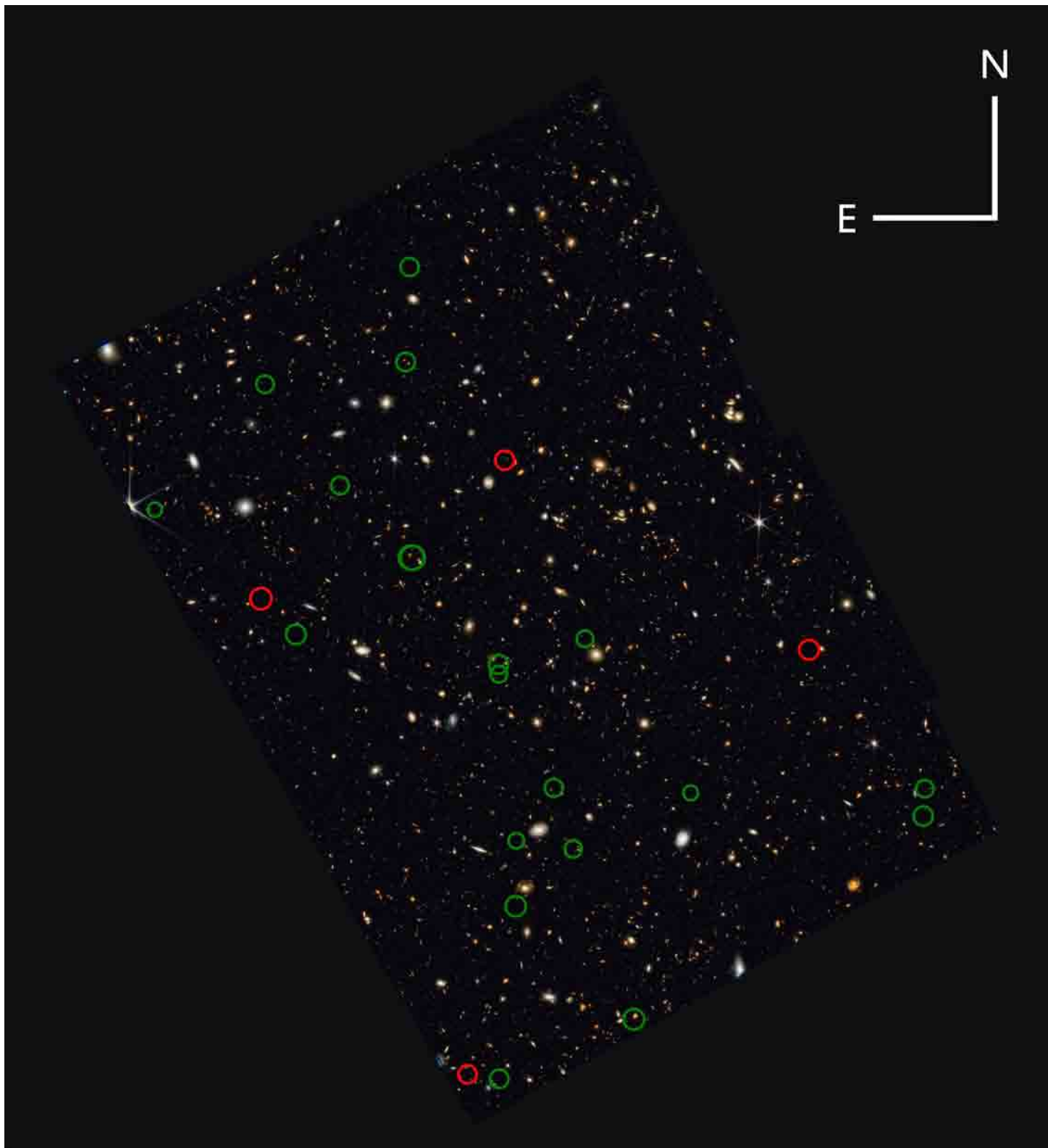


NGDEEP





# JADES GOODS-S

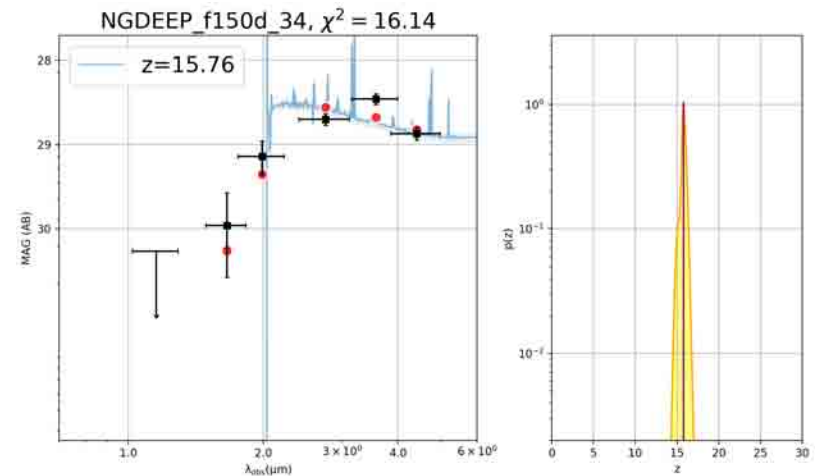
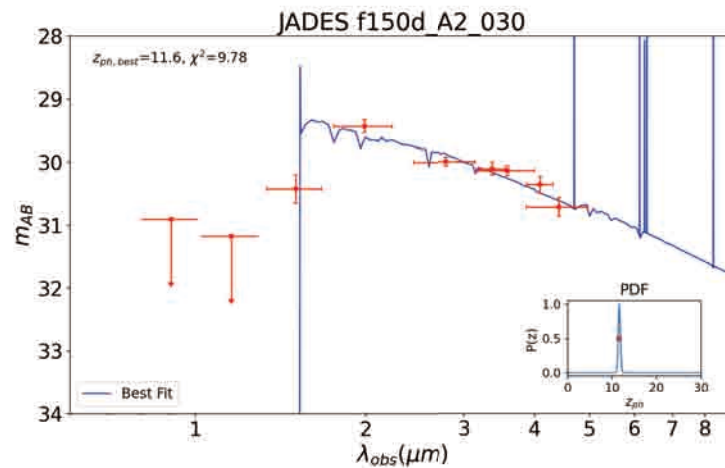
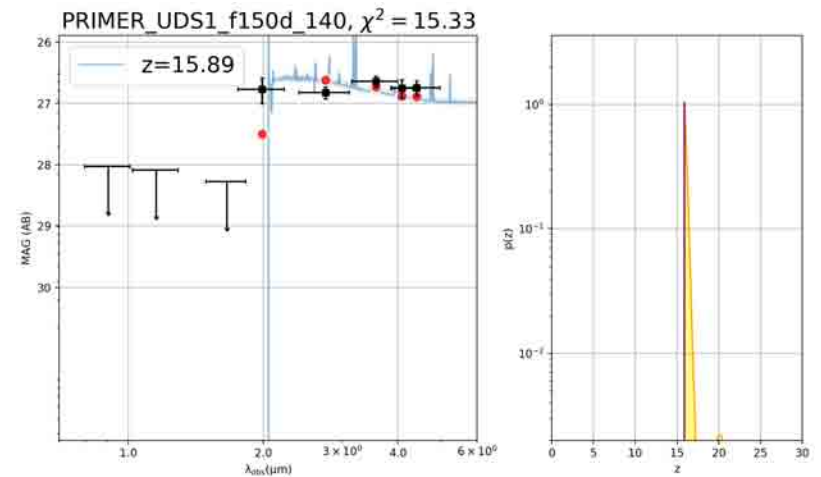
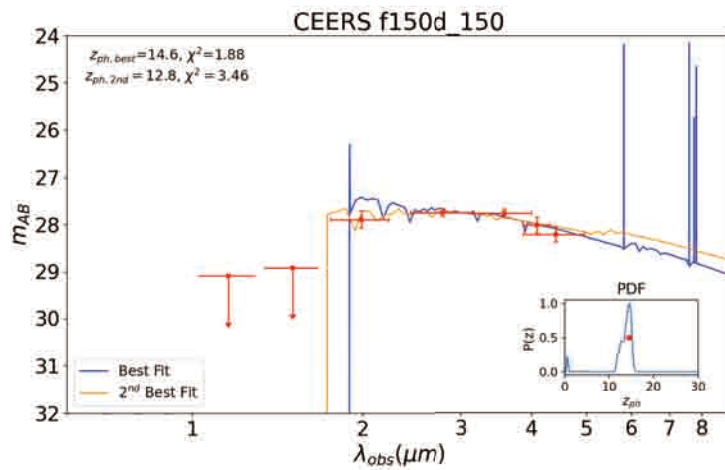


# Contaminations ?

❖ SED-fitting to assess the contamination rates

- ◆ Two different softwares/templates: Le Phare (w/ emission lines) and EAZY (using set 3 + 4)

Examples of good candidates

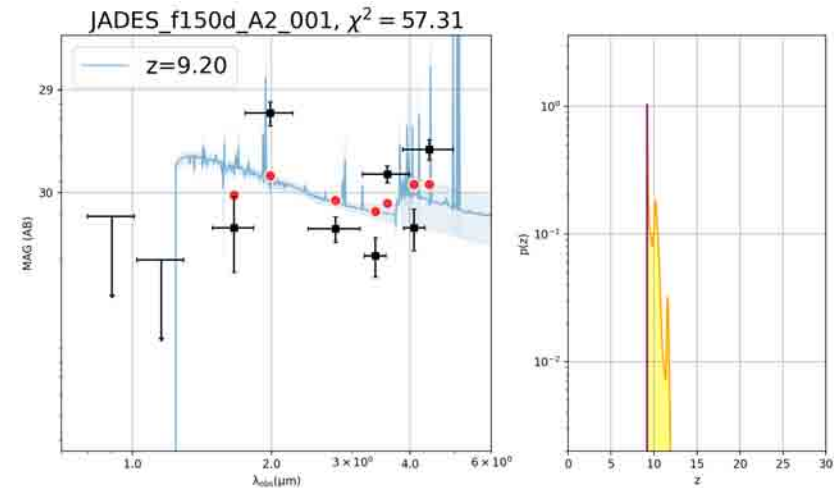
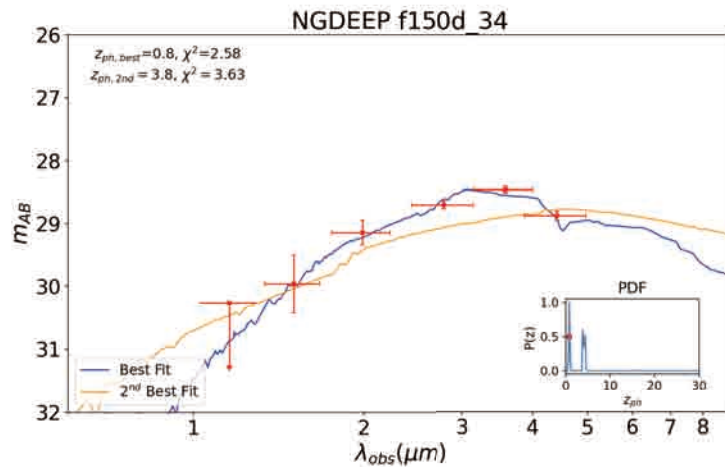
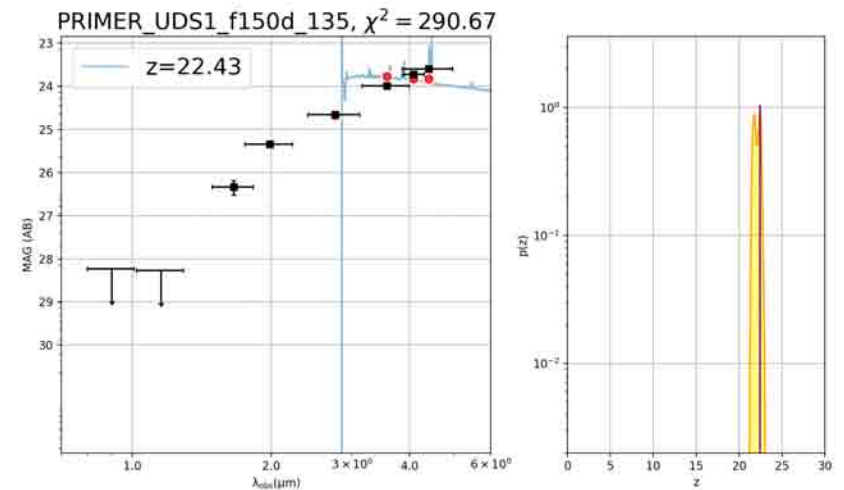
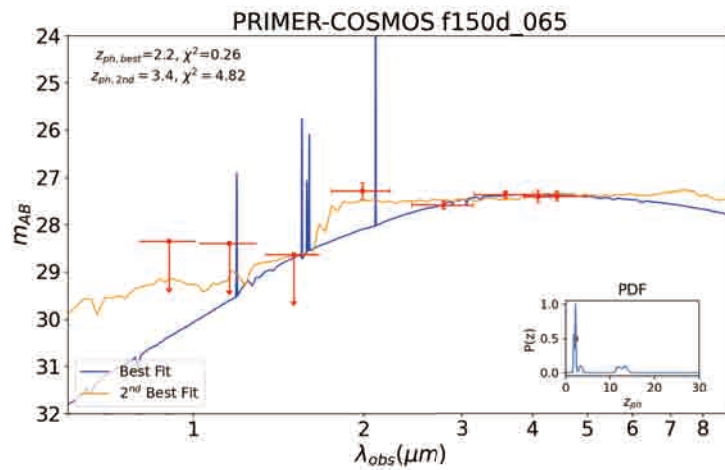


# Contaminations ?

❖ SED-fitting to assess the contamination rates

- ◆ Two different softwares/templates: Le Phare (w/ emission lines) and EAZY (using set 3 + 4)

Examples of **bad** candidates

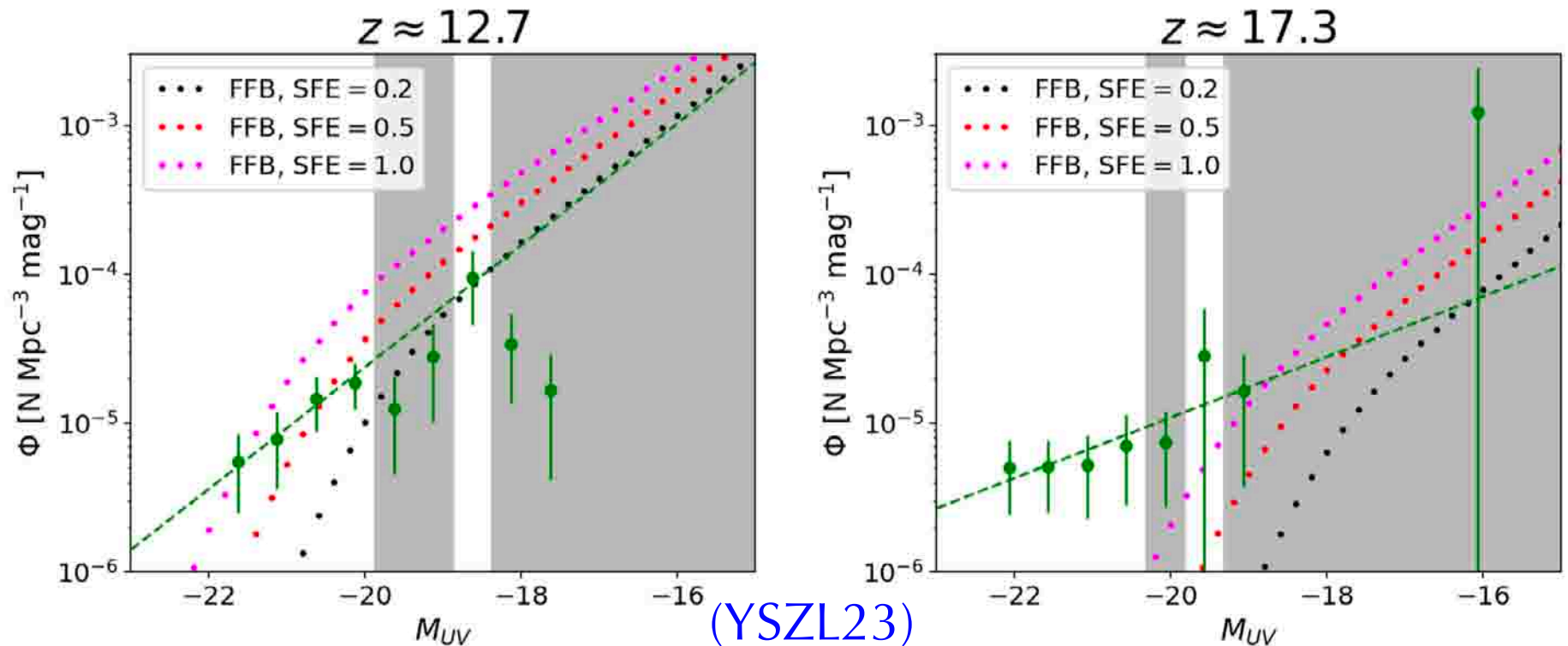




# Deriving Luminosity Functions

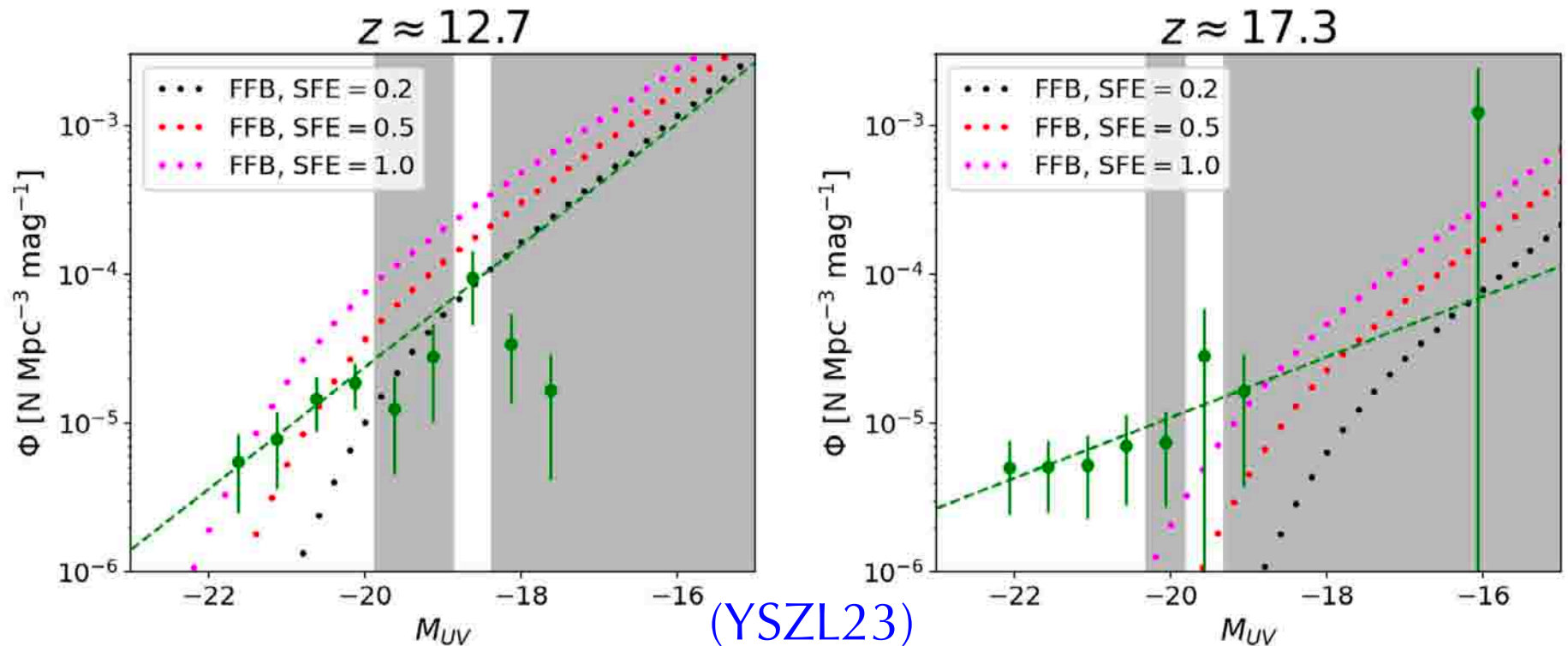
- ❖ Using the two sets of purified F150W and F200W dropouts
- ❖ No attempt to purify the F277W dropouts due to the limited passbands with positive detections
- ❖ Lensing magnification corrected in UNCOVER (model as in Furtak et al. 2023) and SMACS 0723 (model as in Pascale et al. 2022)
- ❖ Incompleteness corrected through simulations

# Tentative luminosity functions (LFs)



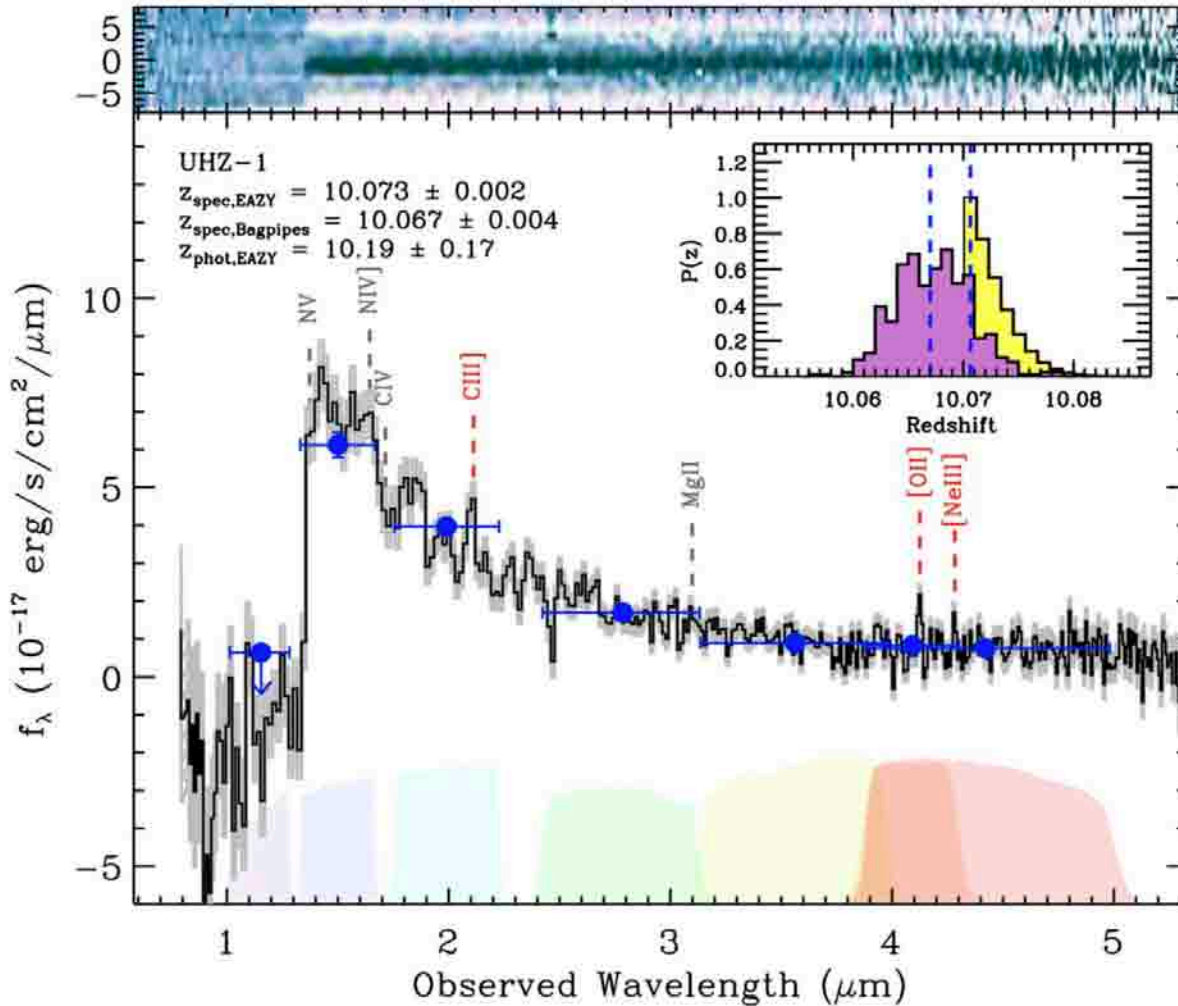
- ❖ Total sample consists of 123, 52 and 32 F150W, F200W and F277W dropouts
- ❖ LFs based on “purified” F150W and F200W dropouts: (1) better fitted by power-law rather than Schechter function; (2) moderate evolution of  $< 2x$
- ❖ Models within the  $\Lambda$ CDM paradigm could explain such objects so early in time

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# Early emergence of AGN



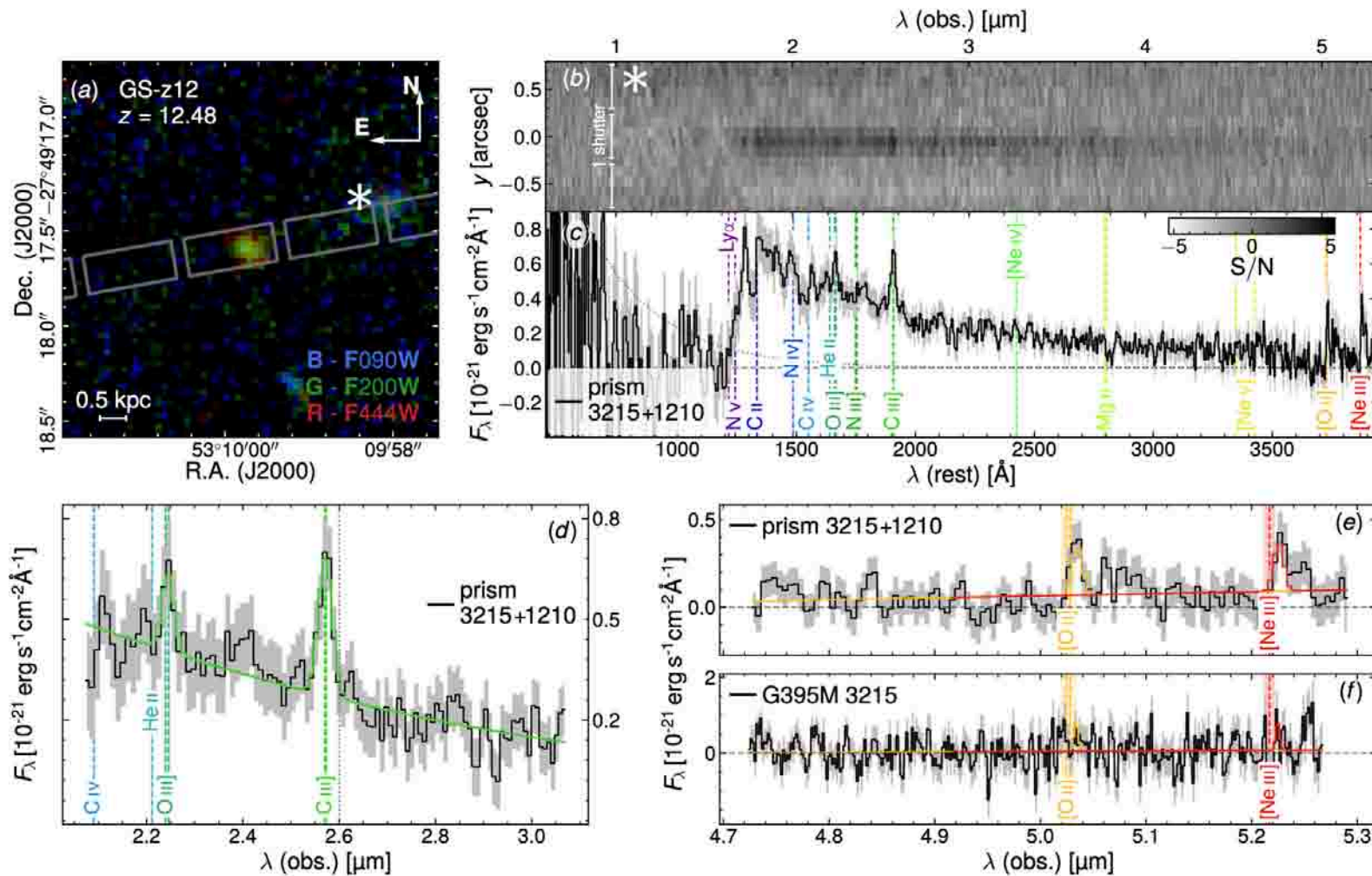
Strong emission in 2-7 keV  
Rest-frame 20-80 keV;  
can only be produced by  
accreting BH

$L_X$  (2-10 keV)  $> 2 \times 10^{44} \text{ erg/s}$   
 $M_{\text{BH}} \sim 10^{7-8} M_{\text{sun}}$

- ❖ Supporting evidence for an early onset of galaxy population: the UNCOVER collaboration identified an X-ray AGN at  $z=10.07$  (Goulding et al. 2023)



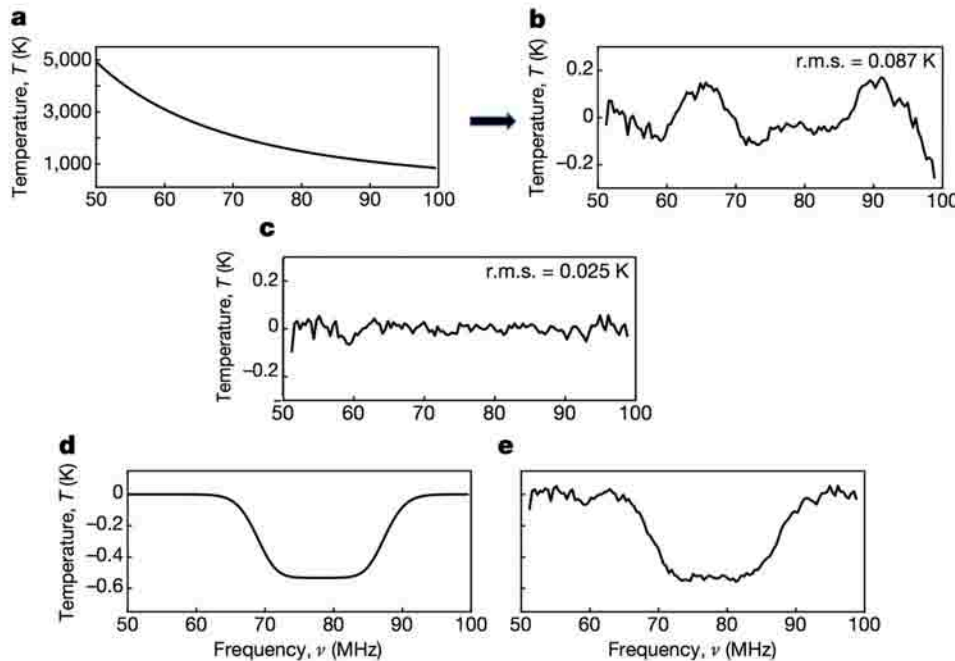
# A $z \sim 12$ galaxy already polluted by metals



- Supporting evidence for an early onset of galaxy population: the JADES collaboration detected multiple metal lines in a galaxy at  $z=12.48$  (D'Eugenio et al. 2023)

# Epoch of Reionization (EoR)

- ❖ *Experiment to Detect the Global EoR Signature* (“EDGES”; Bowman et al. 2018) suggests  $z_{re} \sim 17.2$  (13.6 - 23.1) based on the tentative detection of 78 MHz absorption line



- ❖ F150W ( $z \sim 12.7$ ) and F200W ( $z \sim 17.3$ ) dropouts — if they are indeed at the suggested high- $z$  — are consistent with the latter

- ❖ F277W-dropouts ...  $z \sim 25$ ?

Bowman et al. (2018)

# Summary

- ❖ In contradiction to most studies before July 2022, JWST shows that a large number of galaxies could already be in place at  $z > 11$ 
  - ✱ Spectroscopic confirmation up to  $z=13.2$
  - ✱ Candidates up to at least  $z \sim 21.8$
- ❖ AGN at  $z > 10$  and metal abundance in galaxy at  $z > 12$  all support a very early emergence of galaxy population
- ❖ The epoch of first galaxies is likely at  $z \sim 17.3$  (consistent with  $z_{\text{re}}$  inferred from the global H I 21cm measurement)
- ❖ Galaxy LF at  $z \sim 12.7$  and  $z \sim 17.3$  better fitted by power-law than Schechter function
- ❖ A lot of details are still needed to rebuild a new picture of early galaxy formation